



**This schedule applies to: All State Government Agencies**

**Scope of records retention schedule**

This records retention schedule authorizes the destruction/transfer of public records documenting common functions and activities of state government agencies, including Universities and Community and Technical Colleges. It is to be used in conjunction with the other approved schedules that relate to the unique functions of the agency.

**Disposition of public records**

Public records covered by records series within this records retention schedule must be retained for the minimum retention period as specified in this schedule. Washington State Archives strongly recommends the disposition of public records at the end of their minimum retention period for the efficient and effective management of state resources.

Public records designated as ARCHIVAL must not be destroyed. Records designated as ARCHIVAL (Appraisal Required) must be appraised by the Washington State Archives before disposition. Public records must not be destroyed if they are subject to ongoing or reasonably anticipated litigation. Such public records must be managed in accordance with the agency's policies and procedures for legal holds. Public records must not be destroyed if they are subject to an existing public records request in accordance with chapter 42.56 RCW. Such public records must be managed in accordance with the agency's policies and procedures for public records requests.

**Revocation of previously issued records retention schedules**

***All previous versions of the State Government General Records Retention Schedule are revoked.*** State Agencies must ensure the retention and disposition of public records is in accordance with current, approved records retention schedules.

**Authority**

This records retention schedule was approved by the State Records Committee in accordance with RCW 40.14.050 on XXXX xx, 2011.

For the Attorney General: Traci Friedl

For the State Auditor: Emily Johnson

For the Office of Financial  
Management: Heather Matthews

The State Archivist: Jerry Handfield



## REVISION HISTORY

Version	Date of Approval	Extent of Revision
1.0	November 1991	General Update
2.0	July 1997	General Update
3.0	Summer 2001	General Update
3.5	April 2005	General Update
4.0	November 2005	General Update
5.0	October 6, 2010	Changes to format, Glossary Updated, Indexes Added, Standardized Archival Designations and proper citation of RCW's.
5.1	_____ xx, 2011	All records series reorganized into six functional areas: Agency Management, Asset Management, Financial Management, Human Resource Management, Records Management, and Records With Minimal Retention Value. New activities throughout, including Community Relations, Risk Management, Security, Library Services, Public Disclosure, Publications, and Records Conversion. Several new records series and multiple discontinued series. (Please reference the Revision Guide for detailed information.)



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## 1. AGENCY MANAGEMENT

The function relating to the overarching management of the state government agency and its general administration. Also includes managing the agency's interaction with its community, and legal matters.

### 1.1 COMMUNITY RELATIONS

*The activity of state agencies providing training to outside parties such as other state employees, contractors, customers, or the public.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b>Advertising and Promotion</b></p> <p>Records relating to the planning and/or execution of educational or promotional events and campaigns conducted by the agency to promote the agency's mission or business.</p> <p>Excludes records relating to promotional campaigns conducted by commodities commissions to promote consumption of Washington State commodities.</p>	NEW DAN Rev. 0	<b>Retain</b> for 6 years after end of fiscal year <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OFM
	<p><b>Charity Fundraising</b></p> <p>Records documenting the agency's coordination, participation and support of fundraising campaigns and promoting employee payroll deductions for charities.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"><li>• Decision process for selecting charity campaigns to support;</li><li>• Communication between the agency and charities;</li><li>• Dissemination of charity information;</li><li>• Arrangements and promotion of campaign events.</li></ul> <p>Excludes authorization of payroll deductions covered by GS 01060 and GS01061.</p> <p>Excludes the Office of the Secretary of State's records related to the whole of government coordination of the Combined Fund Drive.</p>	GS 09021 Rev. 1	<b>Retain</b> for 6 years after end of fiscal year <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OPR

# DRAFT



Washington State Archives  
Office of the Secretary of State

## State Government General Records Retention Schedule Consultation Draft – Version 5.1 (May 2011)

### 1.1 COMMUNITY RELATIONS

*The activity of state agencies providing training to outside parties such as other state employees, contractors, customers, or the public.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b>Press Releases</b> Press or news releases issued by an agency to the media to inform the public about events, activities and accomplishments.	GS 05003 Rev. 0	<b>Retain</b> for 2 years after date of issue <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.	<b>ARCHIVAL</b> (Appraisal Required) NON-ESSENTIAL
	<b>Public Information Office, Reference Files</b> Records documenting activities of an agency, generally organized by subject. Provides a record of events, issues and subjects related to an agency's programs, missions and activities. Used to provide background information in responding to public information requests.	GS 05005 Rev. 0	<b>Retain</b> for 2 years after date of document <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.	<b>ARCHIVAL</b> (Appraisal Required) NON-ESSENTIAL



## 1.2 CONTRACTS AND PURCHASING RECORDS

*The activity of managing purchasing records generated by state agencies in the course of procuring services, supplies, and equipment.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b><i>Bid, Invitation To/Request for Proposal</i></b> Solicitation of bids from vendors. May include related working papers, SF GEN-PUR-008 or similar agency-specific form, Request for Proposal (RFP), Request for Information (RFI), and Request for Qualifications and Quotations (RFQQ).	GS 06004 Rev. 0	<b>Retain</b> for 6 years after closure or cancellation date <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b><i>Bids/Proposals, Unsuccessful</i></b> Documentation of the bids submitted to provide goods or services which were not chosen or failed to meet requirements. May include lists of ranking of unsuccessful bidders, financial information about the bidders, resumes, proposals, pricing sheets and other related materials, in addition to the unsuccessful bids.	GS 06007 Rev. 0	<b>Retain</b> for 3 years after bid award or cancellation date <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b><i>Contracts and Agreements</i></b> Instruments signed by the agency and one or more parties that set out terms and conditions to which the signing parties agree or submit.  Includes, but is not limited to: <ul style="list-style-type: none"><li>• Lease, escrow, and rental agreements;</li><li>• Liability waivers (hold harmless, insurance, etc.);</li><li>• Inter-agency, intra-agency, and inter-governmental agreements;</li><li>• Warranties;</li><li>• Personal service, client service, purchasing agreements;</li><li>• Vendor bonds.</li></ul> Excludes public records transfer agreements with Washington State Archives covered by NEW DAN ( <i>Transfer of Legal Custody of Records p. 99</i> ).	GS 06008 Rev. 1	<b>Retain</b> for 6 years after termination or expiration of instrument <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>ESSENTIAL</b> OPR

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ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b>Purchase Authority</b> Authority for an agency to accomplish its own purchases. May be State Form GEN-PUR-007 or similar agency-specific form.	GS 06002 Rev. 0	<b>Retain</b> for 6 years after superseded <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>ESSENTIAL</b>
	<b>Supply and Equipment Requests</b> Internal agency documents used to request the purchase of supplies and equipment.	GS 06001 Rev. 0	<b>Retain</b> for 30 days after date of receipt <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL





### 1.3 EXECUTIVE LEVEL RECORDS

*The activity relating to the executive level of an agency, including records which document the policies, procedures, and administration of an agency. Records created at this level are designated 'Archival' and must be transferred to the Washington State Archives after their retention has been satisfied. This activity does not include routine office records or program level records.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b><i>Appointment Records, Official</i></b> A record of the procedure by which members are asked to serve by appointment or recommendation on any committee, commission, council, board or task force. May include correspondence, official appointment letters, instructions, notices of termination or re-appointment and other related documentation.	GS 10012 Rev. 0	<b>Retain</b> for 6 years after termination of appointment <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.	<b>ARCHIVAL</b> (Appraisal Required) NON-ESSENTIAL
	<b><i>Calendars, Executive</i></b> A record of appointments, task lists, and meeting schedules. Provides a day-by-day record of official activities.	GS 10008 Rev. 0	<b>Retain</b> for 4 years after date of document <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.	<b>ARCHIVAL</b> (Appraisal Required) NON-ESSENTIAL



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ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b>Correspondence – Executive/Official</b></p> <p>Internal and external communications to or from the agency's elected official(s) and/or executive management concerning policy issues, concerns, actions or issues, <b>and that are not covered by a more specific records series.</b></p> <p>Includes all communication types, regardless of format:</p> <ul style="list-style-type: none"><li>• Correspondence, email;</li><li>• Web sites/forms/pages, social networking posts and comments, etc.;</li></ul> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"><li>• Requests for and provision of information/advice;</li><li>• Agency-initiated information/advice.</li></ul> <p>Excludes public records requests covered by GS 05001.</p> <p>Excludes the provision of routine information covered by GS 50001.</p> <p><i>Note: Information/advice published online by the agency continues to be "provided" until the date it is removed/withdrawn.</i></p>	GS 10007 Rev. 1	<p><b>Retain</b> for 4 years after communication received or provided, whichever is later <i>then</i></p> <p><b>Transfer</b> to Washington State Archives for appraisal and selective retention.</p>	<p><b>ARCHIVAL</b> (Appraisal Required) NON-ESSENTIAL OFM</p>



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ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b>History Files</b> Documentation of the history and development of an office, program or event. May include photographs, videotapes, newsletters, scrapbooks or articles.	GS 10013 Rev. 0	<b>Retain</b> for 5 years after end of calendar year <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.	<b>ARCHIVAL</b> (Appraisal Required) NON-ESSENTIAL
	<b>Interpretive and Policy Statements</b> Official statements of policy adopted by an agency, board or commission. Includes an agency's interpretation of a statute, rule or other provision of law, of a court decision, or of an agency order.	GS 10014 Rev. 0	<b>Retain</b> for 3 years after superseded <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.	<b>ARCHIVAL</b> (Appraisal Required) <b>ESSENTIAL</b>
	<b>Minutes and Files of Policy-Setting Meetings</b> Minutes, agendas, and meeting files of the governing body of an agency or of meetings that formulate policy, rules or regulations for an agency or a number of agencies. May include multi-media recordings.	GS 10004 Rev. 0	<b>Retain</b> for 6 years after date of document <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.	<b>ARCHIVAL</b> (Appraisal Required) <b>ESSENTIAL</b>



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ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b><i>Papers of Executive Direction</i></b> A record of formal statements at the executive level of an agency, in regard to agency policy, actions, and mission. May include issuances, directives, records of briefings and presentations of policy in any form.	GS 10005 Rev. 0	<b>Retain</b> for 4 years after date of document <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.	<b>ARCHIVAL</b> (Appraisal Required) <b>ESSENTIAL</b>
	<b><i>Policies and Procedures</i></b> Policies and procedures issued at the executive level of an agency to address agency-wide operations, critical agency functions, or issues of public visibility or concern. May include formal directives, formal policy statements, printed or published procedures manuals, bulletins, orders and rules or notices. <i>Note: This series does not apply to policies and procedures that regulate activities outside the agency or ones that are established through statute or through Washington Administrative Code (WAC) procedures. See GS 10009 Rules and Regulations (Washington Administrative Code).</i>	GS 10002 Rev. 0	<b>Retain</b> for 6 years after superseded <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.	<b>ARCHIVAL</b> (Appraisal Required) <b>POSSIBLY ESSENTIAL</b> (policies and procedures for essential operations)



### 1.3 EXECUTIVE LEVEL RECORDS

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ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b>Quality and Performance Improvement Documentation</b> Documentation of plans and processes for improving agency quality and performance. May include meeting documentation, plans, reports, presentations, training materials, correspondence, performance measures, statistic's, and recommendations associated with efforts such as quality improvement, the Performance Partnership, Balanced Scorecard, Plain Talk, and the Government Management, Accountability and Performance Program (GMAP).	GS 10011 Rev. 0	<b>Retain</b> for 6 years after date of document <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.	<b>ARCHIVAL</b> (Appraisal Required) NON-ESSENTIAL
	<b>Rules and Regulations (Washington Administrative Code)</b> Agency records of filings, hearings, justification statements, meeting tapes and other documents related to the development and enactment of official rules and regulations for inclusion in the Washington Administrative Code (WAC). <i>Note: For WACs that are not superseded within 6 years of implementation, the agency must make a complete copy of the file for agency use and deliver the original documents to the State Archives for historical preservation.</i>	GS 10009 Rev. 0	<b>Retain</b> for 6 years after superseded <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.	<b>ARCHIVAL</b> (Appraisal Required) NON-ESSENTIAL
	<b>Speeches and Writings</b> A record of speeches, including tapes, videos and transcripts, and written presentations and other writings by the agency head or other executive level official, concerning agency policy, concerns, actions or issues.	GS 10006 Rev. 0	<b>Retain</b> for 6 years after date of document <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.	<b>ARCHIVAL</b> (Appraisal Required) NON-ESSENTIAL



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ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b>Studies and Operational Plans</b></p> <p>Major studies and plans initiated at the executive level and/or conducted in response to a legislative order, executive order, federal requirement or court order. Generally addresses agency-wide operations or issues, affects the most important or most critical agency functions, or addresses areas of public visibility or concern. Excludes strategic plans covered by GS 10001. Excludes work plans covered by NEW DAN.</p> <p><i>Note: This series does not apply to program-specific plans.</i></p>	GS 10001 Rev. 0	<p><b>Retain</b> for 4 years after superseded or no longer applicable <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.</p>	<p><b>ARCHIVAL</b> (Appraisal Required) <b>POSSIBLY ESSENTIAL</b> (plans for essential operations or services)</p>
	<p><b>Subject Files, Executive Level</b></p> <p>Executive level documentation of the administration of agency activities. May include organizational charts, narrative reports, reports from agency divisions and sub-divisions, studies, news releases, newspaper clippings, correspondence, copies of minutes of meetings, management team meeting minutes, agendas and other materials.</p> <p><i>Note: This series does not apply to program-level records.</i></p>	GS 10003 Rev. 0	<p><b>Retain</b> for 4 years after date of document <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.</p>	<p><b>ARCHIVAL</b> (Appraisal Required) NON-ESSENTIAL</p>



#### 1.4 GENERAL OFFICE OPERATIONS (NON-EXECUTIVE)

*The activity relating to the executive of routine agency/office administrative tasks. This section includes routine correspondence, subject files, tracking and control records, and transitory records. This function relates to routine administrative records in paper, electronic, or other formats. It does not apply to executive level records or agency program files.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b>Administrative Plans, Working Files, Reports and Survey Results</b> Plans, working files and reports resulting from routine administrative operations and projects. May include work plans, compiled survey results, charts, diagrams, statistics, reports, research materials, drafts, and other documentation related to management studies, non-fiscal audits, survey's and planning studies.	GS 09006 Rev. 0	<b>Retain</b> for 2 years after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Brainstorm/Team Incentive Program (TIP) Documentation</b> Agency copies of all documentation regarding Brainstorm or Team Incentive Program (TIP) proposals. May include application copies, correspondence, award ceremony documentation, evaluations and other related documentation. <i>Note: The Office of the Secretary of State retains the primary record copy. For agency administered programs, see GS 09013 Employee Suggestion Program. For fiscal documentation of the Brainstorm/Team Incentive Program (TIP) see GS 03031 Payroll Files. This series does not include fiscal documentation of prize money.</i>	GS09012 Rev. 0	<b>Retain</b> for 2 years after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Complaints, Policy or Procedural</b> Complaints filed by state employees or the public relating to a policy and/or its implementation, procedures, or business practices that are being followed. May include documentation, filing forms, backup information, recommendations, resolutions and related memoranda and correspondence.	GS 09016 Rev. 0	<b>Retain</b> for 3 years after resolution of complaint <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL

# DRAFT



Washington State Archives  
Office of the Secretary of State

State Government General Records Retention Schedule  
Consultation Draft – Version 5.1 (May 2011)

## 1.4 GENERAL OFFICE OPERATIONS (NON-EXECUTIVE)

*The activity relating to the executive of routine agency/office administrative tasks. This section includes routine correspondence, subject files, tracking and control records, and transitory records. This function relates to routine administrative records in paper, electronic, or other formats. It does not apply to executive level records or agency program files.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b>Correspondence – General</b></p> <p>Routine correspondence concerning day-to-day office administration and activities. May include correspondence between other offices within an agency, routine correspondence with other agencies, and correspondence with the public on routine matters.</p> <p><i>Note: This series does not include program correspondence (which is either scheduled uniquely or covered by NEW DAN), or executive level correspondence covered by GS 10007.</i></p>	GS 09005 Rev. 1	<b>Retain</b> for 30 days after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OFM





#### 1.4 GENERAL OFFICE OPERATIONS (NON-EXECUTIVE)

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ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b>Correspondence – Program</b></p> <p>Internal and external communications to or from employees (includes contractors and volunteers), that are made or received in connection with the transaction of public business, <b>and that are not covered by a more specific records series.</b></p> <p>Includes all communication types, regardless of format:</p> <ul style="list-style-type: none"><li>• Correspondence, email;</li><li>• Web sites/forms/pages, social networking posts and comments, etc.;</li></ul> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"><li>• Requests for and provision of information/advice;</li><li>• Agency-initiated information/advice.</li></ul> <p>Excludes correspondence – executive covered by GS 10007.</p> <p>Excludes public records requests covered by GS 05001.</p> <p>Excludes the provision of routine information covered by GS 50001.</p> <p><i>Note: Information/advice published online by the agency continues to be “provided” until the date it is removed/withdrawn.</i></p>	NEW DAN Rev. 0	<b>Retain</b> for 2 years after communication received or provided, whichever is later <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OFM
	<p><b>Employee Suggestion Program</b></p> <p>All documentation regarding an agency's Employee Suggestion Program. May include applications, correspondence, award ceremony documentation, evaluations, and fiscal documentation of any prize money awarded.</p>	GS 09013 Rev. 0	<b>Retain</b> for 6 years after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL



#### 1.4 GENERAL OFFICE OPERATIONS (NON-EXECUTIVE)

*The activity relating to the executive of routine agency/office administrative tasks. This section includes routine correspondence, subject files, tracking and control records, and transitory records. This function relates to routine administrative records in paper, electronic, or other formats. It does not apply to executive level records or agency program files.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b>Material Safety Data Sheets (MSDS) or Allowed Substitute Record</b> Data sheets or allowed substitute records identifying hazardous chemical(s) by the chemical and common names(s) and listing all ingredients which have been determined to be health hazards. May include records of hazardous chemical use and analyses using exposure or medical records. (WAC 296-802-20010 and WAC 296-802-20015)	GS 09017 Rev. 0	<b>Retain</b> for 30 years after superseded <i>or</i> after chemicals covered by record are no longer used <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>POSSIBLY ESSENTIAL</b>
	<b>Minutes and Files of General Office Meetings</b> Minutes, agendas and meeting files from agency staff meetings, internal committees, task force committees and other internal agency meetings which meet to coordinate activities, work out problems, serve as sounding boards, or as vehicles for communication.	GS 09009 Rev. 0	<b>Retain</b> for 2 years after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Plans, Routine</b> Plans developed to guide routine administrative operations. May include work plans and plans for specific administrative projects. <i>Note: This series does not apply to agency-level planning which involves major policy issues or agency-wide administrative matters.</i>	GS 09008 Rev. 0	<b>Retain</b> for 2 years after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>POSSIBLY ESSENTIAL</b> (plans for essential operations or services)



#### 1.4 GENERAL OFFICE OPERATIONS (NON-EXECUTIVE)

*The activity relating to the executive of routine agency/office administrative tasks. This section includes routine correspondence, subject files, tracking and control records, and transitory records. This function relates to routine administrative records in paper, electronic, or other formats. It does not apply to executive level records or agency program files.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b>Policies and Procedures, General Office, Routine</b></p> <p>Policies and procedures covering the routine, day-to-day operations of an office or unit.</p> <p><i>Note: This series does not include agency mission-related policies and procedures. See GS 10002 Administrative Policies and Procedures.</i></p>	GS 09001 Rev. 0	<b>Retain</b> until superseded <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>POSSIBLY ESSENTIAL</b> (policies or procedures for essential operations)
	<p><b>Project Files</b></p> <p>Documentation of state projects which have innovative or historical significance. May include project management documents such as project team notes, minutes and agendas, studies, surveys, progress reports, correspondence, research and background files, presentation materials, cost estimates, implementation plans and final reports filed as part of the project subject.</p> <p><i>Note: This series does not include capital projects, program files, federally-funded or grant-funded projects.</i></p>	GS 09018 Rev. 0	<b>Retain</b> for 6 years after end of project <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.	<b>ARCHIVAL</b> (Appraisal Required) NON-ESSENTIAL



#### 1.4 GENERAL OFFICE OPERATIONS (NON-EXECUTIVE)

*The activity relating to the executive of routine agency/office administrative tasks. This section includes routine correspondence, subject files, tracking and control records, and transitory records. This function relates to routine administrative records in paper, electronic, or other formats. It does not apply to executive level records or agency program files.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b>Reference Files</b></p> <p>Reference files containing copies of correspondence, reading or day files, mailing or address lists, memoranda, notes, telephone message slips, routine requests, copies of reports, studies, articles, reference copies of minutes, distribution lists, calendars, appointment books, routine telephone logs and other general reference information on office administrative issues and concerns, used to support administrative analysis, planning and development.</p> <p><i>Note: This series does not include executive level records or program level files. For executive calendars see GS 10008 Executive Calendars.</i></p>	GS 09002 Rev. 0	<b>Retain</b> until no longer needed <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<p><b>Subject Files</b></p> <p>Files arranged alphabetically by topic for subjects of relevance to the work of an office. May include correspondence, memos reports and statistics.</p> <p><i>Note: This series does not include secondary copies.</i></p>	GS 09019 Rev. 0	<b>Retain</b> for 2 years after end of calendar year <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<p><b>Survey Responses, Individual</b></p> <p>Individual responses to surveys or questionnaires.</p>	GS 09020 Rev. 0	<b>Retain</b> until no longer needed <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL



## 1.5 LEGAL FILES

Legal actions involving state agencies are handled by the Office of the Attorney General, primarily by an Assistant Attorney General assigned to each agency. Therefore, most records pertaining to legal actions are centralized under the auspices of the Attorney General. However, many state agencies maintain separate files of correspondence with the Attorney General's Office, information letter opinions, formal opinions and the issue files on legal matters pertaining to the agency.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b>Attorney General Letters, Informal Opinions and Correspondence</b> State agency copies of correspondence with the Office of the Attorney General. May include "Informal Attorney General Opinions." <i>Note: This series does not include the Attorney General's copy of this correspondence.</i>	GS 18002 Rev. 0	<b>Retain</b> for 6 years after date of document <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.	<b>ARCHIVAL</b> (Appraisal Required) NON-ESSENTIAL
	<b>Attorney General Opinions</b> A record of published, formal opinions on legal matters directly relating to the activities of a state agency. <i>Note: This series does not include the Attorney General's copy.</i>	GS 18001 Rev. 0	<b>Retain</b> for 6 years after date of document <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.	<b>ARCHIVAL</b> (Appraisal Required) <b>POSSIBLY ESSENTIAL</b>
	<b>Attorney General's Case Files – Agency Copy</b> Agency copies of case files under the jurisdiction of the Attorney General's office, collected and maintained to document the agency's role with regard to any legal issue. May include copies of background information, lawsuit documentation, correspondence, pleadings, appeals, court orders, agency working files and related documentation.	GS 18004 Rev. 0	<b>Retain</b> until the case resolved and appeal period expired and administrative purpose served <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL

# DRAFT



## 1.5 LEGAL FILES

*Legal actions involving state agencies are handled by the Office of the Attorney General, primarily by an Assistant Attorney General assigned to each agency. Therefore, most records pertaining to legal actions are centralized under the auspices of the Attorney General. However, many state agencies maintain separate files of correspondence with the Attorney General's Office, information letter opinions, formal opinions and the issue files on legal matters pertaining to the agency.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b><i>Legal Issue Files</i></b> Files on legal issues of concern to a state agency. Interpretations of laws and policies, studies, reports, correspondence, copies of legal documents and other materials pertaining to specific issues. <i>Note: This series does not include litigation files or other legal files.</i>	GS 18003 Rev. 0	<b>Retain</b> for 6 years after issue resolved <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.	<b>ARCHIVAL</b> (Appraisal Required) <b>POSSIBLY ESSENTIAL</b>
	<b><i>Non-Attorney General Legal Case Files</i></b> Litigation and case files with no Attorney General involvement.	GS 18005 Rev. 0	<b>Retain</b> for 6 years after case resolved <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL



## 1.6 LEGISLATIVE RELATIONS

*Records documenting the relations of a state agency with the Legislature. Series include files on requested legislation, legislation affecting the agency, contacts with legislators, and other related material. Includes records of contacts with legislative bodies at both the state and federal levels.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b>Agency Request Legislation</b> Documentation of legislation requested by an agency. May include bill drafts, correspondence, fiscal notes, memos, meeting minutes, bill analysis reports, legislative committee reports, monitoring reports, and related records.	GS 19001 Rev. 0	<b>Retain</b> for 2 years after end of legislative session <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.	<b>ARCHIVAL</b> (Appraisal Required) NON-ESSENTIAL
	<b>Bill Files, Legislative</b> Copies of bills under consideration by the Legislature with possible significant impact on the agency. May include bill drafts, amendments, fiscal notes, memos, correspondence, committee reports, monitoring reports, and related records.	GS 19002 Rev. 0	<b>Retain</b> for 2 years after end of legislative session <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.	<b>ARCHIVAL</b> (Appraisal Required) NON-ESSENTIAL
	<b>Public Disclosure Report, Agency Copy</b> Report to the Public Disclosure Commission on lobbying by agency personnel. May include the L-5 Report or a similar document serving the same purpose and information gathered to create the report.  <i>Note: The Public Disclosure Commission retains the primary copy of Public Disclosure Reports for 10 years.</i>	GS 19004 Rev. 0	<b>Retain</b> for 4 years after end of legislative session <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL



## 1.7 RISK MANAGEMENT

*The activity of identifying and migrating risks to the state government agency including disaster preparedness.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b><i>Accidents/Incidents – No Claim Filed (Under Age 18)</i></b> Records relating to accidents/incidents involving individual(s) younger than age 18, and where claims for damages are <u>not</u> filed. Includes, but is not limited to, reports and investigations.  Excludes hazardous materials accidents and incidents covered by NEW DAN.	NEW DAN Rev. 0	<b>Retain</b> for 3 years after individual reaches age 18 <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b><i>Accidents/Incidents – No Claim Filed (Age 18 and Older)</i></b> Records relating to accidents/ incidents involving individuals age 18 and older, and where claims for damages are <u>not</u> filed. Includes, but is not limited to, reports and investigations.  Excludes hazardous materials accidents and incidents covered by NEW DAN.	NEW DAN Rev. 0	<b>Retain</b> for 3 years after date of incident <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b><i>Disaster Preparedness and Recovery Plans</i></b> Records relating to the protection and reestablishment of the agency in the case of a disaster.	GS 14010 Rev. 1	<b>Retain</b> until superseded <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>ESSENTIAL</b>
	<b><i>Safety Drills/Disaster Recovery Exercise</i></b> Documentation of agency safety drills and disaster recovery exercises.	GS 25002 Rev. 0	<b>Retain</b> for 6 years after date of drill or exercise <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL





## 1.8 TRAINING

*The activity of providing training and/or education to outside parties such as other state employees, contractors, customers, or the public.*

*See **Human Resources – Staff Development** for additional record series relating to the training of agency employees.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b><i>Conferences and Seminars, Agency</i></b> A record of information used to organize and conduct conferences, seminars and similar events. May include preparation material, registration and confirmation records, flyers and/or brochures, presentation material and other related documentation.  <i>Note: This series is relevant to conferences that the agency presents, not conferences attended by employees outside of the agency, nor does it include training where a certificate is provided. This series does not include fiscal records or conferences which are grant or contract funded.</i>	GS 22005 Rev. 0	<b>Retain</b> for 3 years after end of event <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b><i>Course Information Records</i></b> Memoranda, flyers, announcements, catalogs, and other records related to specific training courses including information on course content, program registration, instructor, credits and hours.	GS 22001 Rev. 0	<b>Retain</b> until superseded or obsolete <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b><i>Course Registration Processing Records</i></b> Applications and enrollment records. May include data forms, course applications, supervisor and training officer authorizations and rosters of registrants.	GS 22002 Rev. 0	<b>Retain</b> for 3 years after application for course <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL



## 1.8 TRAINING

*The activity of providing training and/or education to outside parties such as other state employees, contractors, customers, or the public.*

*See **Human Resources – Staff Development** for additional record series relating to the training of agency employees.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b><i>Training and Development Files (State Employees, Contractors and Customers)</i></b> A record of presentation or course material developed and gathered for use in training for state employees, contractors and customers. May include reference material, outlines, handouts, notes, evaluations, overheads, videos, computerized presentations and related documentation.	GS 22004 Rev. 0	<b>Retain</b> for 1 year after administrative purpose served <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b><i>Training Files, General Public</i></b> Information used to conduct training and orientation sessions, or to provide information to an agency's public customers. May include training announcements, registration information, reference material, outlines, handouts, notes, evaluations, videos, presentation material and trainings given to special client and stakeholder groups.  <i>Note: This series does not cover any training that maintains or results in the issuance of a required certificate or license.</i>	GS 05007 Rev. 0	<b>Retain</b> until superseded or obsolete <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL



## 2. ASSET MANAGEMENT

The function of managing the state government agency's physical assets (facilities, land, equipment, vehicles, supplies, etc.) Includes asset acquisition, maintenance, inventory, and disposal.

### 2.1 FACILITIES MANAGEMENT

*The activity of managing the operation and maintenance of buildings and other facilities owned or leased by agencies of the State of Washington. Includes documentation relating to capital projects, fixed asset management, buildings and grounds maintenance, and space planning and allocation. The State Records Committee recommends keeping campus-wide records in a central location because buildings and facilities often work in concert or affect one another.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b>Construction Documentation, General Administration Capital Projects</b></p> <p>Agency copies of documents for new construction or additions/remodels to existing facilities when the work is completed by the Department of General Administration. May include correspondence, project meeting minutes, approval, program review and/or comments, plans, drawings, permits, Environmental Impact Statements (EIS), specifications, contracts, test results, reports, modification proposals, change orders, allotment and payment records and related documentation.</p> <p><i>Note: General Administration Engineering and Architecture Services retains the primary copy of this series. For circuit networks see GS 14031 Network Circuit Files.</i></p>	GS 21010 Rev. 0	<b>Retain</b> for 6 years after completed and accepted <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>POSSIBLY ESSENTIAL</b>



## 2.1 FACILITIES MANAGEMENT

*The activity of managing the operation and maintenance of buildings and other facilities owned or leased by agencies of the State of Washington. Includes documentation relating to capital projects, fixed asset management, buildings and grounds maintenance, and space planning and allocation. The State Records Committee recommends keeping campus-wide records in a central location because buildings and facilities often work in concert or affect one another.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b>Construction Documentation, Non-General Administration Capital Projects</b> Agency copies of documents for new construction or additions/remodels to existing facilities when the work is not completed by the Department of General Administration. May include correspondence, project meeting minutes, approval, program review and/or comments, plans, drawings, permits, Environmental Impact Statements (EIS), specifications, contracts, test results, reports, modification proposals, change orders, allotment and payment records and supporting documentation. <i>Note: For circuit networks see GS 14031 Network Circuit Files.</i>	GS 21011 Rev. 0	<b>Retain</b> for 6 years after disposition of building <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.	<b>ARCHIVAL</b> (Appraisal Required) <b>POSSIBLY</b> <b>ESSENTIAL</b>
	<b>Facility Maintenance and Routine Repairs</b> Agency records documenting maintenance and minor repair work, work requests, and records of work completed.	GS 21002 Rev. 0	<b>Retain</b> for 6 years after completion of work <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Fixed Assets Files</b> Agency copies of records documenting the purchase and ownership of major items of equipment. May include purchase orders for fixed assets, vouchers, inventory documents, surplus property disposition records, and other records relating to the purchase, ownership and disposition of fixed assets. <i>Note: This series does not apply to land ownership records. See GS 24 Real Property Management.</i>	GS 21001 Rev. 0	<b>Retain</b> for 6 years after disposition of asset <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>POSSIBLY</b> <b>ESSENTIAL</b>



## 2.1 FACILITIES MANAGEMENT

*The activity of managing the operation and maintenance of buildings and other facilities owned or leased by agencies of the State of Washington. Includes documentation relating to capital projects, fixed asset management, buildings and grounds maintenance, and space planning and allocation. The State Records Committee recommends keeping campus-wide records in a central location because buildings and facilities often work in concert or affect one another.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b>Major Repair and Replacement Records, Building and Equipment</b> Records documenting repairs and/or replacement of structural or major equipment items. May include documentation on the repair or replacement of HVAC systems, electrical or plumbing systems, roofs, walls, floors and other structural elements, architectural or engineering drawings, equipment documentation, work orders, fiscal documents, inspection reports, final acceptance documents, and related records.	GS 21008 Rev. 0	<b>Retain</b> for 6 years after disposition of building or equipment <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Operations and Inspection Records, Physical Plant Systems</b> Records documenting operations and inspections for facility, grounds and utility systems. Systems include but are not limited to HVAC, water, electrical and mechanical systems, landscaping features and underground utilities. Records include but are not limited to meter readings, inspection reports and fuel consumption records.	GS 21007 Rev. 0	<b>Retain</b> for 6 years after disposition of asset <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Reference Copies of Drawings and Files, Maintenance and Operations, Physical Plant</b> Agency copies of architectural drawings, engineering plans and fixed equipment manuals used for reference in building maintenance and operation. <i>Note: Primary copies of these records should be kept pursuant to GS 21010 Construction Documentation, General Administration, Capital Projects or GS 21011 Construction Documentation, Non-General Administration Capital Projects.</i>	GS 21003 Rev. 0	<b>Retain</b> for 3 years after superseded <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>POSSIBLY ESSENTIAL</b>



## 2.1 FACILITIES MANAGEMENT

*The activity of managing the operation and maintenance of buildings and other facilities owned or leased by agencies of the State of Washington. Includes documentation relating to capital projects, fixed asset management, buildings and grounds maintenance, and space planning and allocation. The State Records Committee recommends keeping campus-wide records in a central location because buildings and facilities often work in concert or affect one another.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b><i>Space Allocation Planning Files</i></b> Agency space allocation plans and related documentation including copies of requests, space and cost estimates, justifications, specifications, building and floor plan layout drawings and supporting documentation.	GS 21006 Rev. 0	<b>Retain</b> for 4 years after completion of action <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL



## 2.2 INFORMATION SERVICES

*Documentation of computer services, computer system management, and computer network management.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b><i>Audit Trail Files</i></b> Data generated during the creation of a master file or database, used to validate a master file or database during a processing cycle.	GS 14020 Rev. 0	<b>Retain</b> for 3 backup cycles <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b><i>Computer Run Scheduling Records</i></b> Records used to schedule computer runs, including daily schedules, run reports, run requests, and other records documenting the successful completion of a run.	GS 14015 Rev. 0	<b>Retain</b> for 30 days after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b><i>Computer Usage Files</i></b> Electronic files or automated logs created to monitor computer system usage. May include log-in field system usage files, charge-back files, data entry logs, and records of individual computer program usage.	GS 14013 Rev. 0	<b>Retain</b> for 6 months after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b><i>Data Documentation</i></b> Records created during system development or modification and used to access, retrieve, or manipulate data. May include data element dictionaries, file layouts, code books and other related materials.	GS 14003 Rev. 0	<b>Retain</b> for 1 year after disposition of data <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>POSSIBLY ESSENTIAL</b>
	<b><i>Data or Database Dictionary Reports</i></b> Periodic printouts from a data or database dictionary system, including data element attribute reports, database schema, and related records used for reference purposes.	GS 14025 Rev. 0	<b>Retain</b> until superseded <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL



## 2.2 INFORMATION SERVICES

*Documentation of computer services, computer system management, and computer network management.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b>Data Processing Operating Procedures</b> Records of procedures for data entry, computer equipment operation, production control, tape library, system backup, and other aspects of data processing operation.	GS 14007 Rev. 0	<b>Retain</b> for 1 year after superseded or discontinued <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>POSSIBLY ESSENTIAL</b>
	<b>Data Processing Unit's Copies of Output Reports</b> Copies of output reports produced for clients.	GS 14021 Rev. 0	<b>Retain</b> until acceptance by client <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Destruction (Scratch) Reports</b> Records documenting the destruction of electronic files stored on a tape library.	GS 14024 Rev. 0	<b>Retain</b> for 1 year or when superseded <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Extracted Data Files, Summary</b> Summary or aggregate data from a master file or database created solely to distribute data to individuals or program units for reference and use, but not altered or augmented to support program specific needs.	GS 14022 Rev. 0	<b>Retain</b> until data is distributed <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Finding Aids, Indexes and Tracking Systems</b> Electronic indexes, lists, registers and other finding aids used to provide access to hard copy or electronic records.  <i>Note: Finding aids, indexes or tracking systems developed for archival records must be transferred to the State Archives along with the historical record.</i>	GS 14023 Rev. 0	<b>Retain</b> until the disposition of indexed data <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>POSSIBLY ESSENTIAL</b>





## 2.2 INFORMATION SERVICES

*Documentation of computer services, computer system management, and computer network management.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b>Information Technology Assistance Requests</b> Documentation of all requests for technical assistance including help desk requests, and the responses to those requests, as well as information on the use of computer equipment for program delivery, security and other purposes.	GS 14029 Rev. 0	<b>Retain</b> for 1 year after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Input Documents</b> Copies of records or copies of forms designed and used solely for data input and control, when original records have been retained.	GS 14016 Rev. 0	<b>Retain</b> until data input and any necessary verification <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Network Circuit Files</b> Automated or paper records containing information on network circuits used by an agency, including circuit number, vendor, cost per month, type of connection, software, contact person, and other relevant information. Records used to plan and implement a network and documentation of data communication service, installation or repair including work orders, correspondence, memoranda, work schedules, and copies of building and circuit diagrams and copies of related fiscal records.  <i>Note: For Construction Records see GS 21010 Construction Documentation, General Administration Capital Projects or GS 21011 Construction Documentation, Non-General Administration Capital Projects.</i>	GS 14031 Rev. 0	<b>Retain</b> until circuit is no longer in use <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL



## 2.2 INFORMATION SERVICES

*Documentation of computer services, computer system management, and computer network management.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b>Network Usage Files</b> Electronic files or automated logs created to monitor the use of the agency's network by its customers or network/internet usage by its employees, including but not limited to, log-in records, security logs, and system usage files.	GS 14032 Rev. 0	<b>Retain</b> until scheduled review/audit <i>or</i> until 3 backup cycles <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Network Usage Summary</b> Summary reports and other records created to document computer usage for reporting or other purposes.	GS 14033 Rev. 0	<b>Retain</b> for 1 year after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Operating System/Hardware Conversion Plan</b> Records relating to the replacement of equipment or computer operating systems.	GS 14009 Rev. 0	<b>Retain</b> for 6 months after plan implementation complete <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>POSSIBLY ESSENTIAL</b>



## 2.2 INFORMATION SERVICES

*Documentation of computer services, computer system management, and computer network management.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b>Portfolio Management Documentation, Agency Copy</b></p> <p>Required by Department of Information Services Board, the Department of Information Services and the Office of Financial Management, the portfolio details agency investment, acquisition and use of information technology. Updated annually, the portfolio is used in executive decision-making and evaluation of relationships between information technology investments, agency strategies and programs, and the budget.</p> <p><i>Note: The primary record copy is retained by the Department of Information Services for a longer period of time.</i></p>	GS 14036 Rev. 0	<b>Retain</b> until superseded <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<p><b>Program Listing and Source Code</b></p> <p>Source code that is compiled to generate the machine language instructions used to operate an automated information system.</p>	GS 14004 Rev. 0	<b>Retain</b> for 1 year after 2 update cycles after code is modified or replaced 1 year after discontinuance of system <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>ESSENTIAL</b>
	<p><b>Project Planning and Documentation, Information Technology</b></p> <p>Documentation of projects. May include program management plans, information technology proposal documentation, meeting documentation, project planning, assessment, conversion, test planning and results, implementation, contingency plans, and certification records.</p>	GS 14037 Rev. 0	<b>Retain</b> for 6 years after termination of project <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL



## 2.2 INFORMATION SERVICES

*Documentation of computer services, computer system management, and computer network management.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b>Site and Equipment Support Files</b> Records documenting support and maintenance services provided to specific data processing equipment or installations, including site visit reports, program and equipment service reports, service histories and related correspondence and memos.	GS 14026 Rev. 0	<b>Retain</b> for 1 year after disposition of equipment <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Software Review Files</b> Records related to the review and recommendation of software for agency use, including vendor information, manuals, software reviews, and related material.	GS 14028 Rev. 0	<b>Retain</b> until software is no longer in use <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Summary Computer Usage Report</b> Summary reports and other paper records created to document computer usage for reporting or cost recovery purposes.	GS 14014 Rev. 0	<b>Retain</b> for 6 months after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>System and Backup Files</b> Copies of system files and databases, application software, logs, directories and other records needed to restore a system and its data in the event of system or data loss. May include records of the location and status of back-up copies.	GS 14011 Rev. 0	<b>Retain</b> until after 3 backup cycles <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>ESSENTIAL</b>
	<b>System Documentation</b> Records documenting the use, operation and maintenance of an agency's automated information system, including but not limited to user manuals, system requirements for software, hardware and the operating system, hardware configurations and equipment control systems.	GS 14008 Rev. 0	<b>Retain</b> until hardware or software is discontinued but, not before data is migrated to a new system <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>POSSIBLY ESSENTIAL</b>



## 2.2 INFORMATION SERVICES

*Documentation of computer services, computer system management, and computer network management.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b>System Specifications</b> Manufacturer and/or user and operational documentation describing system operations, including, but not limited to, system documentation records, user guides, system flowcharts, and input/output specifications.	GS 14002 Rev. 0	<b>Retain</b> for 1 year after disposition or transfer of system data to a new system <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>POSSIBLY ESSENTIAL</b>
	<b>System Users Access Files</b> Electronic or textual records created to control or monitor an individual's access to a system and its data. Created for security purposes, including, but not limited to, user account records and access authorization files.	GS 14012 Rev. 0	<b>Retain</b> for 2 years after termination of user account <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>POSSIBLY ESSENTIAL</b>
	<b>Systems and Applications Development</b> Records created and used to develop, redesign or modify an automated system or application, including, but not limited to, user requirements, status reports and correspondence.	GS 14001 Rev. 0	<b>Retain</b> for 1 year after disposition or transfer of system data to a new operating environment <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>POSSIBLY ESSENTIAL</b>
	<b>Technical Program Documentation</b> Documentation of program code, flowchart, maintenance log, system change notices, and other records documenting functions of and modification to computer programs.	GS 14005 Rev. 0	<b>Retain</b> for 1 year after authorized retention of the data <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>ESSENTIAL</b>



## 2.2 INFORMATION SERVICES

*Documentation of computer services, computer system management, and computer network management.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b>Test Data Base Files</b> Routine or benchmark data sets, related documentation, and test results constructed or used to test or develop a system.	GS 14006 Rev. 0	<b>Retain</b> until no longer needed, but not before acceptance of test results <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Valid Transaction Files</b> Records used to update and/or document a transaction in a database or master file, including, but not limited to, valid transaction files, DBMS files and similar records. These records are not retained to document a program unit action or for audit purposes.	GS 14018 Rev. 0	<b>Retain</b> until after 3 backup cycles <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Work or Intermediate Files</b> Records used to facilitate the processing of a specific job or run, or used to create, update, modify, transfer, manipulate or sort data within an automated system when all transactions are captured in a master file, valid transaction file or database, in cases where the file is not required to provide an audit trail.	GS 14017 Rev. 0	<b>Retain</b> until completion of transaction <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Year 2000 Project Files</b> Documentation of Year 2000 compliance projects. May include program management plans, meeting documentation, project planning, assessment, conversion, test planning and results, implementation contingency plans, and certification records.	GS 14035 Rev. 0	<b>Retain</b> for 6 years after termination of project and/or contract <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.	<b>ARCHIVAL</b> (Appraisal Required) NON-ESSENTIAL



## 2.3 REAL PROPERTY MANAGEMENT

*The activity relating to the acquisition, management, and disposition of agency-owned real property (land). Includes appraisals, contracts, deeds, title reports, water rights, and other related documents.*

*A durable copy (microfilm, paper, or electronic as approved by the State Archivist) of any record that is still active after having been in existence for 50 years must be provided to the State Archives in order to preserve the information for posterity.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b>Condemnations of Land</b> Documentation of condemnation actions either by state agencies or affecting state agencies.	GS 24006 Rev. 0	<b>Retain</b> for 6 years after disposition of parcel <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.	<b>ARCHIVAL</b> (Appraisal Required) <b>ESSENTIAL</b>
	<b>Land Acquisition Documentation</b> Documentation of the process for planning and acquiring particular parcels of land. May include planning reports, boundary descriptions and adjustments, photographs, studies, correspondence, and other related documentation.	GS 24004 Rev. 0	<b>Retain</b> for 6 years after disposition of parcel <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.	<b>ARCHIVAL</b> (Appraisal Required) <b>POSSIBLY ESSENTIAL</b>
	<b>Land Appraisals</b> Contracts and reports on the appraisal of land for monetary value.	GS 24001 Rev. 0	<b>Retain</b> for 6 years after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>ESSENTIAL</b>



## 2.3 REAL PROPERTY MANAGEMENT

*The activity relating to the acquisition, management, and disposition of agency-owned real property (land). Includes appraisals, contracts, deeds, title reports, water rights, and other related documents.*

*A durable copy (microfilm, paper, or electronic as approved by the State Archivist) of any record that is still active after having been in existence for 50 years must be provided to the State Archives in order to preserve the information for posterity.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b>Land Sales and Conveyance Documentation</b> Records pertaining to the sale of state land.	GS 24009 Rev. 0	<b>Retain</b> for 6 years after disposition of parcel <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.	<b>ARCHIVAL</b> (Appraisal Required) <b>ESSENTIAL</b>
	<b>Land Title Documents</b> Documentation of the conveyance of land titles to the state. May include title searches, deeds, patents, clear lists and supporting documentation.	GS 24008 Rev. 0	<b>Retain</b> for 6 years after disposition of parcel <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.	<b>ARCHIVAL</b> (Appraisal Required) <b>ESSENTIAL</b>
	<b>Lands Escheated to the State</b> Records pertaining to the conveyance of lands escheated to the state for management or disposal.	GS 24005 Rev. 0	<b>Retain</b> for 6 years after disposition of parcel <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.	<b>ARCHIVAL</b> (Appraisal Required) <b>ESSENTIAL</b>





## 2.3 REAL PROPERTY MANAGEMENT

*The activity relating to the acquisition, management, and disposition of agency-owned real property (land). Includes appraisals, contracts, deeds, title reports, water rights, and other related documents.*

*A durable copy (microfilm, paper, or electronic as approved by the State Archivist) of any record that is still active after having been in existence for 50 years must be provided to the State Archives in order to preserve the information for posterity.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b>Lands Inventory</b> Inventories of land owned by state agencies. May include summary information of each parcel.	GS 24003 Rev. 0	<b>Retain</b> for 6 years after superseded <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>ESSENTIAL</b>
	<b>Water Rights Acquired by the State</b> Records of actions documenting the acquisition of water rights on state land.	GS 24007 Rev. 0	<b>Retain</b> for 6 years after disposition of parcel <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.	<b>ARCHIVAL</b> (Appraisal Required) <b>ESSENTIAL</b>



## 2.4 SECURITY

*The activity of protecting the state government agency's physical goods and resources against danger, loss or threat.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b>Building Access Documentation</b> Documentation of individual security identification and building access privileges. May include requests and authorizations for the issuance of identification badges and key cards to employees, contractors, and volunteers.	GS 25001 Rev. 0	<b>Retain</b> for 6 years after termination of access <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Security Recordings</b> Security recordings of agency facilities and grounds. May include both video and digital recordings. <i>Note: As with all public records, security recordings must be retained until final resolution of the case if they are requested or used in litigation.</i>	GS 25003 Rev. 0	<b>Retain</b> for 30 days after date of recording <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL



## 2.5 SUPPLY, EQUIPMENT AND VEHICLE MANAGEMENT

*The activity of managing state-owned or leased equipment, supplies, and motor vehicles. Does not include real property (land) records.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b><i>Capital Assets Management System, Statewide or Agency</i></b> Statewide or agency systems to maintain agency inventories of land, buildings, furniture, equipment and other capital assets. May be used as input into the statewide asset report. May include asset tracking and depreciation schedules, running inventory control documents that describe each piece of agency-owned or leased equipment and furniture, indicating its location and value, Data Input Sheet, Edit Error Report, Edit Control Error Report, Work File Error List, Location Code Report, Update Report, Update Control Report, Tag Number Report, AFRS Organizational Code Report, Asset Report, Data Transmittal to Statewide Asset Reporting System, Added Report, Changes Report, Error Report, Summary Report, and Summary of Monthly File Activity Report.	GS 16008 Rev. 0	<b>Retain</b> for 6 years after superseded <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b><i>Disposition Records, Asset</i></b> Agency copies of records that track the disposition of property. May include request for disposal of property, receipts, copies of disposition reports, Disposed Property Report and records of property that has been sent to state surplus.	GS 16003 Rev. 0	<b>Retain</b> for 6 years after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL



## 2.5 SUPPLY, EQUIPMENT AND VEHICLE MANAGEMENT

*The activity of managing state-owned or leased equipment, supplies, and motor vehicles. Does not include real property (land) records.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b><i>Equipment Maintenance and Repair Records</i></b> Records documenting services and repairs to agency equipment, excluding motor vehicles. May include maintenance and repair orders, copies of fiscal documents such as requisitions, purchase orders and vouchers, warranties, manuals and related materials.  <i>Note: This series does not include structural or major equipment items. See GS 21008, Major Repair and Replacement Records, Building and Equipment. See GS 16007 - Motor Vehicle Maintenance, Repair and Disposition Records for motor vehicles.</i>	GS 16006 Rev. 0	<b>Retain</b> for 3 years after disposition of item <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b><i>Inventories, Supplies, Commodities And Parts</i></b> Running inventories used to manage stocks of expendable materials such as office supplies, parts and other expendable supplies, describing the materials and indicating current stock balances.	GS 16004 Rev. 0	<b>Retain</b> for 6 years after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b><i>Motor Vehicle Maintenance, Repair and Disposition Records</i></b> Records documenting service, repairs and disposition of motor vehicles assigned to or owned by an agency. May include maintenance orders and logs, copies of fiscal documents such as requisitions, purchase orders, vouchers, warranties, manuals, and related materials.	GS 16007 Rev. 0	<b>Retain</b> for 6 years after disposition of vehicle <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL



## 2.5 SUPPLY, EQUIPMENT AND VEHICLE MANAGEMENT

*The activity of managing state-owned or leased equipment, supplies, and motor vehicles. Does not include real property (land) records.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b>Motor Vehicle Title</b> Record of legal ownership of state-owned vehicles. <i>Note: At sale, title is transferred to new owner. At disposal, title is transferred to Department of Licensing.</i>	GS 16009 Rev. 0	<b>Retain</b> until after sale or disposition of vehicle <i>then</i> <b>Transfer</b> to new owner or Department of Licensing	NON-ARCHIVAL NON-ESSENTIAL
	<b>Supplies, Commodities and Parts Disbursement Records</b> Records documenting the disbursement of office supplies, commodities, parts and other expendable materials.	GS 16005 Rev. 0	<b>Retain</b> for 6 years after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Vehicle Accident Report Form, Agency Copy</b> The Office of Financial Management requires that one copy of the Vehicle Accident Report Form (State Form 137) be sent to the reporting agency's Safety and/or Risk Management Office within two working days following an accident involving a state Motor Pool vehicle.	GS 16010 Rev. 0	<b>Retain</b> for 3 years after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Vehicle Log and Usage Report</b> Reports and logs of vehicle usage by state agencies.	GS 07003 Rev. 0	<b>Retain</b> for 3 years after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL



## 2.6 TELECOMMUNICATIONS

*The activity of documenting the administration of telecommunications services provided to state agencies.*

*See also **Fiscal and Accounting Records** for addition record series relating to fiscal and accounting activities.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b>Reports and Information, Telecommunications</b> Telecommunications-related reports and information. May include toll-free reports and usage information, voicemail and telephone calling information reports, individual telephone calling information requests, and automated call distribution (ACD) data.	GS 08005 Rev. 0	<b>Retain</b> for 1 year after end of fiscal year <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>SCAN Account Detail Report</b> Listings of telephone activity by each SCAN account. Shows name of account holder, number called, distance, time spent, and SCAN charges. The "Official Copy" may be the agency's copy of the billing or the employee-signed copy.	GS 08004 Rev. 0	<b>Retain</b> for 6 years after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>SCAN System Authorization Requests</b> Requests by state agencies for assignment, changes in assignment, changes in authorization levels, or cancellation of SCAN access. May include related correspondence and memorandum in addition to the SCAN requests.	GS 08002 Rev. 0	<b>Retain</b> for 6 months after request approved or denied <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Services and Order Requests, Telecommunications</b> Requests by state agencies for telephone service, installation or repairs, and responses to the requests. May include requests for voicemail, network and data circuit services and other telecommunications services.	GS 08001 Rev. 0	<b>Retain</b> for 1 year after request approved or denied <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL



### 3. FINANCIAL MANAGEMENT

The function of managing the state government agency's financial resources, obligations and monetary infrastructure.

#### 3.1 AUDITS, INVESTIGATIONS AND EXAMINATIONS

The activity of documenting internal audits and investigations, and State Auditor examinations of state agency programs and fiscal activities.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b><i>Audit Documentation and Working Papers</i></b> Audit documentation, including support for findings, conclusions, and recommendations. May also include correspondence, memos, drafts, preliminary findings, notes, and other materials used in preparing internal or external audits, investigations or examinations. <i>Note: This series does not apply to State Auditor's Office audits.</i>	GS 04001 Rev. 0	<b>Retain</b> for 6 years after audit report date <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b><i>External Audit, Final Audit or Examination Report</i></b> Final reports of customer audits, compliance audits, performance audits, external audits or examinations performed by or for a state agency. <i>Note: Agency retains primary record copy. This series does not include State Auditor's Office audits.</i>	GS 04005 Rev. 0	<b>Retain</b> for 6 years after audit report date <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.	<b>ARCHIVAL</b> (Appraisal Required) NON-ESSENTIAL
	<b><i>Internal Audit, Final Audit or Examination Report</i></b> Final reports of internal audits, investigations or examinations. <i>Note: Agency retains primary record copy.</i>	GS 04002 Rev. 0	<b>Retain</b> for 6 years after audit report date <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.	<b>ARCHIVAL</b> (Appraisal Required) NON-ESSENTIAL



### 3.1 AUDITS, INVESTIGATIONS AND EXAMINATIONS

The activity of documenting internal audits and investigations, and State Auditor examinations of state agency programs and fiscal activities.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b>State Auditor's Office Audit Results, Agency Copy</b></p> <p>Documentation of state agency audits conducted by the State Auditor's Office. May include final reports of audits and examinations performed by the State Auditor's Office, Management Letters, Exit Items, and other documentation provided at the final exit conference.</p> <p><i>Note: State Auditor's Office retains primary record copy.</i></p>	GS 04006 Rev. 0	<b>Retain</b> for 3 years after exit conference date <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<p><b>State Auditor's Office Whistleblower Investigative Reports, Agency Copy</b></p> <p>Agency copies of State Auditor's Office reports of allegations of fraud or violations of state laws or regulations as a result of a Whistleblower complaint filed under Chapter 42.40 RCW.</p> <p><i>Note: State Auditor's Office retains primary record copy.</i></p>	GS 04004 Rev. 0	<b>Retain</b> for 3 years after date of report <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL





### 3.2 FISCAL AND ACCOUNTING RECORDS

*The function of managing the primary record regardless of media. Copies used for specific legal, fiscal, or administrative purposes may be either retained using the retention for the primary copy or scheduled separately if a different retention is required. Copies of these documents preserved only for reference or informational purposes may be discarded when no longer needed.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b>Accounts Payable</b> Documents all invoices for items disbursed during each month by general account code, to provide posting data to the general ledger (GS 01009). Documents adjustments to accounts. Includes supporting documents.	GS 01007 Rev. 0	<b>Retain</b> for 6 years after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Accounts Receivable</b> Documentation of charges and billing process for goods and/or services rendered. Documents adjustments to accounts. May include “determination of fees” documentation, work orders or service requests (may be in the form of field orders or invoice vouchers) logs of goods and/or services provided, receipts, billing statements and related documents.	GS 01016 Rev. 0	<b>Retain</b> for 6 years after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>ESSENTIAL</b>
	<b>Allotment Records, Revenue and Expenditure</b> Records revenue and expenditure allotments. Includes allotment submittals and backup documentation.	GS 01043 Rev. 0	<b>Retain</b> for 6 years after end of biennium <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>POSSIBLY ESSENTIAL</b>
	<b>Asset Depreciation Reports</b> Calculated value of the depreciation value of state-owned assets. May include Depreciation Ledger, General Ledger Interface, Depreciation by Cost Center, and related documentation.	GS 16002 Rev. 0	<b>Retain</b> for 6 years after superseded <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL



### 3.2 FISCAL AND ACCOUNTING RECORDS

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ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b>Banking Records</b> A record of agency transactions with financial institutions. May include deposit slips, bank statements, check registers, reconciliation statements and other related documentation. <i>Note: Per the Check Clearing for the 21st Century Act, substitute checks will replace the hard copy of redeemed (paid) check/warrant.</i>	GS 01013 Rev. 0	<b>Retain</b> for 6 years after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Budget Preparation Working Files</b> Documentation of agency development of biennial budget proposals. May include correspondence, reports, memos, drafts, statistics, agency budget request and supporting documentation.	GS 01040 Rev. 0	<b>Retain</b> for 6 years after end of biennium <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Cash Receipt Records</b> A record of agency cash receipts. May include daily cash listing, electronic funds transfer (EFTs), transfers, credit card payments, and cash register tape.	GS 01010 Rev. 0	<b>Retain</b> for 6 years after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Credit Card Records</b> Includes all documentation of the use of credit cards issued to agencies or employees, such as receipts, records related to online ordering, purchase card log and activity log.	GS 01017 Rev. 0	<b>Retain</b> for 6 years after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>POSSIBLY ESSENTIAL</b>



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ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b>Disbursement Reporting System Report – DRS</b> Automatic and agency-requested reports (for subscribing agencies) of vendor history information, including disbursements and the Office of Minority and Women’s Business Enterprise’s information.	GS 02009 Rev. 0	<b>Retain</b> for 90 days after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Financial Reporting Working Documents, Fiscal Year End</b> Working documents used for analysis of certain financial balance interrelationships and other financial information. May include: <ul style="list-style-type: none"><li>• CAF – Financial Balances and Other Information;</li><li>• FED – Federal Revenues and Expenditures;</li><li>• REC – Receivable/Payable Relationship;</li><li>• PAY – Payable/Receivable Relationship.</li></ul>	GS 02020 Rev. 0	<b>Retain</b> for 6 years after end of the fiscal year <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Financial Reports, Fiscal Year End, Agency Copy</b> Reports summarizing fiscal year accounting activity.  <i>Note: The Office of Financial Management retains the primary record copy of reports generated by AFRS and Enterprise Reporting</i>	GS 01067 Rev. 0	<b>Retain</b> for 6 years after the end of fiscal year <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL



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ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b>Financial Reports, Quarterly, Monthly &amp; Daily, Agency Copy</b></p> <p>Detail and summary financial and statistical information reports created on a quarterly, monthly, daily, or in-process basis.</p> <p>May include:</p> <ul style="list-style-type: none"><li>• Monthly Approved Allotment and Revenue Reports;</li><li>• Monthly Financial Statement Reports;</li><li>• Monthly Combined Approved and Adjusted Allotment Reports;</li><li>• Monthly Treasurer Accounting System Reports;</li><li>• Monthly Working Papers Reports;</li><li>• Monthly General Ledger Trial Balances;</li><li>• Quarterly Petty Cash Report.</li></ul> <p><i>Note: the Office of Financial Management maintains designated AFRS Monthly Reports for 6 years. Agencies using non-AFRS systems should schedule their records on agency unique schedules. Copies not used for reconciliation can be destroyed when administrative purpose has been served.</i></p>	GS 02005 Rev. 0	<b>Retain</b> for 6 years after date of report <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<p><b>General Ledger Trial Balance</b></p> <p>A record of prescribed general ledger accounts documenting agency receipts, expenditures and balances, by source and fund. May include reconciliation reports.</p> <p><i>Note: Applies only to General Ledger Trial Balances not generated by Enterprise Reporting.</i></p>	GS 01009 Rev. 0	<b>Retain</b> for 6 years after date of the document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>POSSIBLY ESSENTIAL</b>



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ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b>Internal Revenue Service (IRS) Reporting Forms</b></p> <p>Any IRS-required documents used by state agencies to report vendor payments to the IRS. May include forms:</p> <ul style="list-style-type: none"><li>• 1099;</li><li>• 1098;</li><li>• 5498;</li><li>• W2G or similar-use forms.</li></ul> <p><i>Note: For IRS Form W-9 see GS 01066 Vendor Payment Information.</i></p>	GS 01019 Rev. 0	<b>Retain</b> for 4 years after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<p><b>Journal Vouchers</b></p> <p>Documentation for entering journal voucher transactions into an accounting system.</p>	GS 01006 Rev. 0	<b>Retain</b> for 6 years after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>POSSIBLY ESSENTIAL</b>
	<p><b>Management Reporting System – MRS</b></p> <p>Supplemental, ad hoc, analytical information on the status of allotments, disbursements, accruals, encumbrances, and variances at varying levels for various time frames.</p>	GS 02008 Rev. 0	<b>Retain</b> for 90 days after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL



### 3.2 FISCAL AND ACCOUNTING RECORDS

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ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b>Notes, Legislative</b> Summary and detail agency reports, narrative, and graphics for final Omnibus, Supplemental, Transportation, and Capital budgets enacted by the Legislature.  <i>Note: The Legislative Evaluation and Accountability Program (LEAP) Committee holds the primary and archival copy of the Legislative Budget Notes.</i>	GS 01065 Rev. 0	<b>Retain</b> for 6 years after the end of biennium <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Policies and Procedures, Fiscal</b> Memoranda, rules, orders, directives, procedural instructions, regulations, bulletins, notices, instructions issued by OFM and the State Auditor, and any other documentation which is directive in nature or explains policies and procedures relating to the fiscal administration of an agency.	GS 01014 Rev. 0	<b>Retain</b> for 6 years after superseded <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>ESSENTIAL</b>
	<b>Public Works Requisition</b> A record of all requisitions for public works projects processed by the Engineering and Architecture Division of the Department of General Administration.  <i>Note: This series does not apply to General Administration Department copy.</i>	GS 01012 Rev. 0	<b>Retain</b> for 6 years after work completed <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Revenue Reports</b> A monthly summary of revenue recorded from interagency agreements, contracts, and other sources.	GS 01011 Rev. 0	<b>Retain</b> for 6 years after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>POSSIBLY ESSENTIAL</b>

# DRAFT



## 3.2 FISCAL AND ACCOUNTING RECORDS

*The function of managing the primary record regardless of media. Copies used for specific legal, fiscal, or administrative purposes may be either retained using the retention for the primary copy or scheduled separately if a different retention is required. Copies of these documents preserved only for reference or informational purposes may be discarded when no longer needed.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b>Travel Authorizations</b> Requests by employees for authorization to travel or for advance payment for travel expenses. May be State Form A40 or similar form serving the same purpose.	GS 07002 Rev. 0	<b>Retain</b> for 6 years after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Travel Expense Vouchers</b> Vouchers submitted to claim reimbursement for employee travel expenses. May be State Form A20 or similar form serving the same purpose.	GS 07001 Rev. 0	<b>Retain</b> for 6 years after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL

# DRAFT



## 3.2 FISCAL AND ACCOUNTING RECORDS

*The function of managing the primary record regardless of media. Copies used for specific legal, fiscal, or administrative purposes may be either retained using the retention for the primary copy or scheduled separately if a different retention is required. Copies of these documents preserved only for reference or informational purposes may be discarded when no longer needed.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b>Vendor Payments Files</b></p> <p>Documentation of agency disbursements. May include all documentation related to purchases by agencies, including voucher distribution registers, vendor remittance advisories, document transmittals and certification reports, encumbrance requests and other documentation which provides a record of payments to vendors and other agencies for goods and services.</p> <p>May include:</p> <ul style="list-style-type: none"><li>• Purchase Order Files;</li><li>• Field Order Files;</li><li>• Purchase Requisition Files;</li><li>• Invoice Voucher Files;</li><li>• Printing Requisition Files;</li><li>• Copy Center Request Files;</li><li>• Travel Expense Payment Files;</li><li>• Inter-Agency Billing Files;</li><li>• Encumbrances and Liquidation Records.</li></ul>	GS 01004 Rev. 0	<b>Retain</b> for 6 years after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>POSSIBLY ESSENTIAL</b> (Some items in this series may be Essential)
	<p><b>Vendor Payment Information</b></p> <p>Documentation may include IRS Form W-9, Vendor Registration Form, and Electronic Funds Transfer Authorization Form.</p>	GS 01066 Rev. 0	<b>Retain</b> for 6 years after final payment <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL





### 3.2 FISCAL AND ACCOUNTING RECORDS

*The function of managing the primary record regardless of media. Copies used for specific legal, fiscal, or administrative purposes may be either retained using the retention for the primary copy or scheduled separately if a different retention is required. Copies of these documents preserved only for reference or informational purposes may be discarded when no longer needed.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b>Warrant Register</b> A record of fiscal transactions concerning disbursement vouchers drawn against the State Treasurer and the account classification of each transaction. May include detail and summary records. <i>Note: This series does not apply to State Treasurer's copies.</i>	GS 01001 Rev. 0	<b>Retain</b> for 6 year after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Warrant Register, Claims</b> A record of disbursements relating to claims or refunds which require case or claim data identification. <i>Note: If a federal records retention requirement differs from that which is specified by this series, keep the records for whichever retention period is longer.</i>	GS 01003 Rev. 0	<b>Retain</b> for 6 years after payment made <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL



### 3.3 GRANTS MANAGEMENT

*The function relating to the administration of grants either issues by the state or received by state agencies. Records include grant applications, grantor and grantee correspondence and official responses, grant contacts, fiscal records, reports, administrative correspondence, grant products, and other related records.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b>Agency Grant Program Files</b> Documentation of grant programs administered by granting agencies. May include fiscal reports, correspondence, audit reports and related documentation.	GS 23003 Rev. 0	<b>Retain</b> for 6 years after administrative purpose served <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Deliverables</b> File copies, including but not limited to reports, studies, surveys, educational materials and promotional materials, regardless of media, that have been developed or produced as part of a grant or grant agreement.	GS 23005 Rev. 0	<b>Retain</b> for 6 years after final termination of grant or agreement <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.	<b>ARCHIVAL</b> (Appraisal Required) NON-ESSENTIAL
	<b>Grants Applications, Denied</b> Documentation of grants in which the application has been denied. May include applications, grant evaluation summaries, working papers, letters of denial, and other related documentation.	GS 23002 Rev. 0	<b>Retain</b> for 1 year after grant application denied <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL



### 3.3 GRANTS MANAGEMENT

*The function relating to the administration of grants either issues by the state or received by state agencies. Records include grant applications, grantor and grantee correspondence and official responses, grant contacts, fiscal records, reports, administrative correspondence, grant products, and other related records.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b>Grants Issued by the State</b> Documentation may include grant announcement parameters, grant applications, grant evaluation summaries, notification of grant awards, grant project status, fiscal reports, correspondence related to grant monitoring, audit reports, progress and compliance reports prepared and submitted by the grantee and related documentation.	GS 23001 Rev. 0	<b>Retain</b> for 6 years after end of grant period <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>POSSIBLY ESSENTIAL</b>
	<b>Grants Received by State Agencies</b> Documentation of grant projects and funds received and expended by state agencies. May include copies of Requests for Proposals (RFPs), applications, notifications of grant awards, fiscal reports and supporting documentation, reports and correspondence related to grant monitoring, audit reports, status reports, compliance reports, grants modifications requests, progress reports and final reports.	GS 23004 Rev. 0	<b>Retain</b> for 6 years after end of grant period <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>POSSIBLY ESSENTIAL</b>



## 4. HUMAN RESOURCE MANAGEMENT

The function of managing the state government agency's workforce.

### 4.1 ATTENDANCE AND LEAVE

*The activity of documenting and authorizing employees' attendance and leave.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b><i>Attendance and Leave Records</i></b> A record of the attendance of state employees including: <ul style="list-style-type: none"><li>• Annual leave;</li><li>• Sick leave;</li><li>• Compensatory time;</li><li>• Exchange time;</li><li>• Overtime;</li><li>• Personal holidays;</li><li>• Other records pertaining to attendance.</li></ul>	GS 03030 Rev. 0	<b>Retain</b> for 6 years after end of fiscal year <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b><i>Family Medical Leave and Shared Leave</i></b> Documentation of the use of family medical leave and the receipt and return of shared leave. May include: <ul style="list-style-type: none"><li>• Donation info;</li><li>• Career shared leave.</li></ul>	GS 03034 Rev. 0	<b>Retain</b> for 7 years after termination of employment <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b><i>Time Keeping/Time And Effort</i></b> Documentation of federal requirements for quarterly/semi-monthly reports of employee time when federal dollars are expended.	GS 03035 Rev. 0	<b>Retain</b> for 10 years after administrative purpose served <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>ESSENTIAL</b>



## 4.2 BENEFITS

*The activity of compensating employees via means other than salaries and wages.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b>Employee Recognition/Appreciation Ceremonies or Awards</b></p> <p>A record of agency- or division-wide employee recognition efforts and events to include:</p> <ul style="list-style-type: none"><li>• Meeting minutes;</li><li>• Plans;</li><li>• Awards;</li><li>• Location;</li><li>• Details of events;</li><li>• Copies of expenditure records.</li></ul> <p><i>Note: For Brainstorm or TIP records, See GS 09012 Brainstorm/Team Incentive Program (TIP) Documentation.</i></p>	GS 03021 Rev. 0	<b>Retain</b> for 2 years after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<p><b>Tuition Reimbursement</b></p> <p>Provides a record of courses taken by employees for which tuition reimbursement was requested by the employee. May include Tuition Reimbursement Request Form and related documents.</p>	GS 03013 Rev. 0	<b>Retain</b> for 6 years after completion of course <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<p><b>Voluntary Employee's Beneficiary Association (VEBA) Documentation</b></p> <p>Documentation of an agency participation in VEBA (medical expense plan), which may include lists of eligible employees, ballots and vote tally of employees who are eligible to retire in a given calendar year and correspondence.</p>	GS 03045 Rev. 0	<b>Retain</b> for 6 years until superseded <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL



#### 4.3 EQUITY

*The activity of ensuring fairness and equal opportunities for all employees.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b><i>Affirmative Action Plans, Goals and Reports</i></b> Agency affirmative action/equal opportunity plans, goals and reports including workforce reports and personnel utilization analysis reports showing progress on affirmative action goals.	GS 20002 Rev. 0	<b>Retain</b> for 6 years after calendar year <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.	<b>ARCHIVAL</b> (Appraisal Required) NON-ESSENTIAL
	<b><i>Reasonable Accommodation Files</i></b> Documentation of employee requests for an agency's provision of reasonable accommodations for employees with special needs	GS 03011 Rev. 0	<b>Retain</b> for 7 years after termination of employment <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL

# DRAFT



## 4.4 INDUSTRIAL INSURANCE

*The activity relating to the coverage of medical expenses and salary compensation for work related injuries and illnesses.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b><i>Injury Claims</i></b></p> <p>Claims by employees injured on the job.</p> <p>May include:</p> <ul style="list-style-type: none"><li>• Agency copy of L&amp;I claim forms;</li><li>• Accident report;</li><li>• Reports from doctors;</li><li>• Agency responsibility forms;</li><li>• Related documentation.</li></ul> <p><i>Note: This series does not apply to the L&amp;I copy of injury claims files. This series does not include copies of L&amp;I claim forms kept in GS 03039 Employee Medical and Safety Files.</i></p>	GS 03015 Rev. 0	<b>Retain</b> for 7 years after claim settled <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL

# DRAFT



## 4.4 INDUSTRIAL INSURANCE

*The activity relating to the coverage of medical expenses and salary compensation for work related injuries and illnesses.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b><i>Injury Claims, Eye Injuries</i></b></p> <p>Claims by employees with eye injuries on the job.</p> <p>May include:</p> <ul style="list-style-type: none"><li>• Agency copy of L&amp;I claim forms;</li><li>• Accident report;</li><li>• Reports from doctors;</li><li>• Agency responsibility forms;</li><li>• Related documentation.</li></ul> <p><i>Note: This series does not apply to the L&amp;I copy of injury claims files. This series does not include copies of L&amp;I claim forms kept in GS 03039 Employee Medical and Safety Files.</i></p>	GS 03016 Rev. 0	<b>Retain</b> for 10 years after claim settled <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL



# DRAFT



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State Government General Records Retention Schedule  
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## 4.5 LABOR RELATIONS

*The activity of responding managing relationships dealing with the negotiation of labor.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b>Labor Union Contracts</b> Records of contract negotiation proceedings, contracts, related correspondence, amendments, etc.	GS 09015 Rev. 0	<b>Retain</b> for 6 years after contract superseded or terminated <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>ESSENTIAL</b>
	<b>Labor Union Relations Files</b> All documentation related to labor union administrative business. May include correspondence, meeting files and minutes, and related documentation. <i>Note: For files regarding grievances see GS 03003 Grievance Files.</i>	GS 09014 Rev. 0	<b>Retain</b> for 2 years after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL



#### 4.6 MISCONDUCT/DISCIPLINE/GRIEVANCES

The activity of investigating and responding to employee misconduct, grievances and disciplinary matters.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b>Affirmative Action Complaint Files</b> Agency copies of complaints submitted by job applicants and agency employees, and the resolution of complaints related to affirmative action/equal opportunity issues.  May include: <ul style="list-style-type: none"><li>• Complaints;</li><li>• Agency Responses and Decisions;</li><li>• Related Correspondence;</li><li>• Filings;</li><li>• Records of Appeals.</li></ul>	GS 20004 Rev. 0	<b>Retain</b> for 6 years after resolution of case <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Complaints, Harassment and Discrimination</b> Documentation of complaints by state employees regarding work place discrimination or harassment.	GS 03038 Rev. 0	<b>Retain</b> for 6 years after resolution of case <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Grievance Files</b> A record of complaints and grievances by state employees regarding work place issues but not including discrimination or sexual harassment.	GS 03003 Rev. 1	<b>Retain</b> for 6 years after resolution of case <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL



#### 4.6 MISCONDUCT/DISCIPLINE/GRIEVANCES

The activity of investigating and responding to employee misconduct, grievances and disciplinary matters.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b>Personnel Action Appeals</b> Records pertaining to employee appeals against the agency by state employees. May include appeals, responses, legal actions, correspondence and related documentation.</p> <p><i>Note: This series does not apply to the records of the Personnel Resources Board.</i></p>	GS 03004 Rev. 0	<b>Retain</b> for 2 years after final determination <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<p><b>Personnel Complaints, Exonerated, Non-Represented Employees</b> Personnel complaints filed against or by non-represented state employees in which the complaint proved to be unsubstantiated.</p> <p><i>Note: Info regarding employee misconduct that is determined to be false and in all situations where the employee has been fully exonerated of wrongdoing shall be promptly destroyed unless the employee requests that the info be retained, or it is related to pending legal action or legal action is expected to result. (See RCW 42.06.450)</i> <i>This series does not include complaints related to discrimination or harassment. See GS 03038 Complaints, Harassment and Discrimination.</i></p>	GS 03006 Rev. 0	<b>Retain</b> until exoneration. <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL



#### 4.6 MISCONDUCT/DISCIPLINE/GRIEVANCES

The activity of investigating and responding to employee misconduct, grievances and disciplinary matters.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b>Personnel Complaints, Exonerated, Represented Employees</b></p> <p>Personnel complaints filed against or by represented state employees in which the complaint proved to be unsubstantiated.</p> <p><i>Note: Some Collective Bargaining Agreements require complaints to be removed from an employee's file upon exoneration, although the agency is not required to dispose of the records at that time. This retention schedule establishes the retention period for such records by the agency. If the Collective Bargaining Agreement covering the agency's employees provides for a different retention period than this schedule, the agency should request approval of a unique schedule for those records.</i></p> <p><i>In regards to harassment or discrimination complaints by represented employees, use this records series if the Collective Bargaining Agreement applies to those types of complaints. If harassment or discrimination complaints are not covered by the Collective Bargaining Agreement, use GS 03038.</i></p>	GS 03043 Rev. 0	<b>Retain</b> for 3 years after final determination <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<p><b>Personnel Complaints, Upheld, Non-Represented Employees</b></p> <p>Personnel complaints filed against or by non-represented state employees. May include documentation, filing forms, backup info, recommendations, resolutions, and related memoranda and correspondence.</p> <p><i>Note: This series does not include complaints related to discrimination or harassment. See GS 03038 Complaints, Harassment and Discrimination.</i></p>	GS 03005 Rev. 0	<b>Retain</b> for 6 years after final determination <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL



#### 4.6 MISCONDUCT/DISCIPLINE/GRIEVANCES

The activity of investigating and responding to employee misconduct, grievances and disciplinary matters.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b><i>Personnel Complaints, Upheld, Represented Employees</i></b></p> <p>Personnel complaints filed against or by represented state employees. May include documentation, filing forms, backup information, recommendations, resolutions, and related memoranda and correspondence.</p> <p><i>Note: If the Collective Bargaining Agreement covering the agency's employees provides for a different retention period for these records, the agency should request approval of a unique schedule for those records.</i></p>	GS 03044 Rev. 0	<b>Retain</b> for 6 years after final determination <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL



#### 4.7 OCCUPATIONAL HEALTH AND SAFETY

*The activity of creating and maintaining a safe and healthy work environment for employees.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b><i>Employee Medical and Safety Files</i></b></p> <p>Documentation may include:</p> <ul style="list-style-type: none"><li>• Employee copy of medical documents of diagnosis;</li><li>• Prognosis, treatment and accommodation for employee injuries and illnesses;</li><li>• Return to work plans;</li><li>• Medical tests, medical examinations, medical procedures/results, and reports from doctors, including agency copy of L&amp;I claims forms and related documentation.</li></ul> <p>This series includes Medical Surveillance, Exposure, and Audiology history record, Employee/Supervisor/Reviewer Investigation of Accident/Incident forms, and Accident Review Board Case Summaries.</p> <p>Note: For more info on requirements for retaining employee medical records see WAC 296-802-20005 and RCW 49.17.220.</p>	GS 03039 Rev. 0	<b>Retain</b> for 30 years after termination of employment <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL



#### 4.8 PAYROLL

*The activity relating to the monetary compensation of employees on a periodic basis.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b><i>Distribution of Payroll and Related Costs</i></b> A detailed distribution account of the payroll costs for each agency employee.	GS 01062 Rev. 0	<b>Retain</b> for 6 years after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b><i>Payroll Files</i></b> Series may include: <ul style="list-style-type: none"><li>• Documentation of employee pay status;</li><li>• Misc., payroll deductions;</li><li>• Internal revenue info, insurance plans;</li><li>• Other documentation concerning employee payroll.</li></ul> <i>Note: In the event of an employee transfer, current misc. deductions and forms for insurance, etc., are forwarded to the gaining agency. The remainder of the file is kept by the originating office.</i>	GS 03031 Rev. 0	<b>Retain</b> for 7 years after termination of employment <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>ESSENTIAL</b>
	<b><i>Payroll Files, Retirement Verification</i></b> Salary and employment dates retained for the purpose of retirement verification. Records should show monthly salary earned and hours worked.	GS 03032 Rev. 0	<b>Retain</b> for 60 years after termination of employment <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>ESSENTIAL</b>
	<b><i>Payroll Register</i></b> Central, certified record of agency payroll, payroll deductions, and the net pay of each agency employee. (This document can be used to assist in providing retirement verification if adequate time worked data is on the payroll registers.)	GS 01060 Rev. 0	<b>Retain</b> for 60 years after the date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>ESSENTIAL</b>



#### 4.8 PAYROLL

*The activity relating to the monetary compensation of employees on a periodic basis.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b>Payroll Register, Deductions</b> Central record of the accounting of funds withheld or deducted from each employee's payroll to satisfy deductions. May include misc. payroll deductions.	GS 01061 Rev. 0	<b>Retain</b> for 6 years after the date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Payroll Register, Year-to-Date</b> Accumulative summary of payroll expenses for each agency employee.	GS 01063 Rev. 0	<b>Retain</b> for 6 years from the date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Payroll Reports</b> Various payroll reports used by the personnel/payroll offices to verify actions. May include: <ul style="list-style-type: none"><li>• Payroll certification signed by agency head or designee and all documents that reflect personnel actions;</li><li>• Internal revenue reports;</li><li>• L&amp;I quarterly reports;</li><li>• Savings bonds;</li><li>• Sign-ups and deposits;</li><li>• Garnishments;</li><li>• Warrant cancellations;</li><li>• Electronic fund transfers;</li><li>• Year-to-date cost reports;</li><li>• Various misc. deductions.</li></ul>	GS 03033 Rev. 0	<b>Retain</b> for 6 years after report received and verified <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL



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#### 4.8 PAYROLL

*The activity relating to the monetary compensation of employees on a periodic basis.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b><i>Wage, Quarterly Report of</i></b> A report of the total personnel costs of each agency, detailing the status of each employee, pay range, and total wages paid to date.	GS 01064 Rev. 0	<b>Retain</b> for 6 years after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL

# DRAFT



## 4.9 PERFORMANCE MANAGEMENT

*The activity of assessing and directing employee progress toward performance goals.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b>Employee Information File – Supervisor’s Copy</b></p> <p>Documentation gathered by an employee’s supervisor in preparation for each employee’s performance evaluation. May include:</p> <ul style="list-style-type: none"><li>• Notes regarding training and employee development;</li><li>• Performance notes;</li><li>• Job assignments;</li><li>• Other related documentation.</li></ul> <p><i>Note: If this file contains any secondary copies of documentation found in the official Personnel Record Folder, GS 03001 they may be discarded when their administrative purpose has been served.</i></p>	GS 03024 Rev. 0	<b>Retain</b> until completion of evaluation <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<p><b>Employee Performance Evaluations</b></p> <p>Evaluations of state employee work performance, prepared by his or her supervisor on a regular schedule.</p>	GS 03002 Rev. 0	<b>Retain</b> for 3 years after completion of next evaluation <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL



#### 4.10 PERSONNEL

*The activity of documenting an individual's employment with the state government agency. Includes volunteers.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b>Personnel Record Folders, Non-Retired Employees</b></p> <p>Documentation related to an employee's state employment history including position held, Position Eligibility Worksheet, Retirement Status Worksheet, Student Waiver of Retirement Plan or any agency documentation pertinent to the eligibility of the position or the individual employee for a retirement plan.</p> <p><i>Note: This series does not include complaints, reprimands, disciplinary actions or evaluations. Agencies have discretion to decide whether all personnel records are kept in one file and subject to the retention required by GS 03001, or kept in separate files to facilitate destruction of those records that are not required to be retained as long. See GS 03042 for retention of personnel records that do not relate to retirement.</i></p>	GS 03001 Rev. 0	<b>Retain</b> for 60 years after termination of employment <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>ESSENTIAL</b>
	<p><b>Personnel Record Folders, Retired Employees</b></p> <p>Documentation related to an employee's state employment history including position held, Position Eligibility Worksheet, Retirement Status Worksheet, Student Waiver of Retirement Plan or any agency documentation pertinent to the eligibility of the position or the individual employee for a retirement plan.</p> <p><i>Note: This series does not include complaints, reprimands, disciplinary actions or evaluations.</i></p>	GS 03041 Rev. 0	<b>Retain</b> for 25 years after date of retirement <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>ESSENTIAL</b>

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### 4.10 PERSONNEL

*The activity of documenting an individual's employment with the state government agency. Includes volunteers.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b>Personnel Records</b></p> <p>Documentation related to an employee's state employment history. May include employees' application, resume, assignment(s), telecommunicating agreements, employee profiles, justification documentation, promotions, reclassifications and other related employment information.</p> <p><i>Note: This series does not include documentation pertinent to the eligibility of the position or the individual for a retirement plan, which has a significantly longer retention period. This series recognizes that some agencies segregate personnel records into separate folders and allows those agencies to avoid retaining these records for the longer retention period required for retirement related records. See GS 03001 and 03041.</i></p>	GS 03042 Rev. 0	<b>Retain</b> for 10 years after termination of employment <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL

# DRAFT



## 4.10 PERSONNEL

*The activity of documenting an individual's employment with the state government agency. Includes volunteers.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b>Reports, Personnel</b></p> <p>Various reports generated by the Department of Personnel (DOP) and made available to state agencies. The reports document employee info such as position changes, activity summary, affirmative action, position costs, seniority dates, under-fill, over-fill, termination, employee positions and status, new hire, non-permanent tracking, multi-fill, and similar topics.</p> <p><i>Note: Effective July 1, 2006, all reports generated by the DOP can now be generated through the Human Resources Management System (HRMS) by each agency, instead of being provided by DOP. All reports previously provided by DOP should be kept under GS 03009 until their retention has been met. All reports generated from HRMS can be discarded under GS 50. If the agency determines that the reports printed have an administrative, legal or fiscal value the agency should then request approval of a unique schedule for those records.</i></p>	GS 03009 Rev. 0	<b>Retain</b> for 6 years after superseded <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<p><b>Volunteer Files</b></p> <p>Applications, agreements, L&amp;I forms, and other info pertaining to volunteers.</p>	GS 03017 Rev. 0	<b>Retain</b> for 6 years after calendar year <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>ESSENTIAL</b>

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#### 4.11 POLICIES AND PROCEDURES

*The activity of creating systematic approaches to operations and processes.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b><i>Policy, Rules and Regulations for Employees, Agency</i></b> Policy, rules and regulations adopted by state agencies to govern the behavior of their employees	GS 03020 Rev. 0	<b>Retain</b> for 6 years after superseded <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL



#### 4.12 POSITION DEVELOPMENT/STAFF STRUCTURE

*The activity of structuring and organizing human resources.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b>Job Specifications</b> Descriptions of job classifications which apply to positions held by the employees of a state agency. <i>Note: This series does not apply to DOP master files.</i>	GS 03008 Rev. 0	<b>Retain</b> until superseded <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Position Files</b> History of each position within the agency. May include vacancies, reallocations, training plan, selective certification documentation, classification questionnaire and related documentation.	GS 03010 Rev. 0	<b>Retain</b> until position abolished or revised <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b>Reduction In Force Files, Agency</b> Documentation of reduction in force actions by state agencies. May include documentation of the process and its implementation, agency decisions, reports, correspondence, organizational charts, meeting minutes, administrative notes and other documentation related to a reduction in force action.	GS 03019 Rev. 0	<b>Retain</b> until after conclusion of any pending action <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL



#### 4.13 RECRUITMENT/HIRING

*The activity of screening, selecting and employing individuals. Includes volunteers.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b><i>Applications/Resumes Received – Not Interviewed</i></b> Any request for job consideration, solicited or unsolicited, not interviewed.	GS 03022 Rev. 0	<b>Retain</b> for 6 months after date of document <i>then</i> <b>Destroy.</b>	NON-ESSENTIAL NON-ARCHIVAL
	<b><i>Employment Eligibility Verification Documents/I-9 Forms</i></b> Documents used to verify employment eligibility within the United States. May include: <ul style="list-style-type: none"><li>• Federal I-9 forms;</li><li>• Passport copies;</li><li>• Copies of valid driver's license;</li><li>• Copies of certificate of naturalization and supporting documentation.</li></ul> <i>See 8 CFR 274a.2.</i>	GS 03036 Rev. 0	<b>Retain</b> until after date of hire plus 3 years or termination of employment plus 1 Year, whichever is later <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b><i>Exit Interview, Personnel</i></b> Documentation of info gained during an exit interview with a terminating employee.	GS 03040 Rev. 0	<b>Retain</b> for 6 years after calendar year <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b><i>Recruitment, Employee</i></b> Documentation of the entire process used in recruiting and hiring new employees. May include background checks or criminal history records requests.	GS 03012 Rev. 0	<b>Retain</b> for 3 years after position filled <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL





#### 4.14 STAFF DEVELOPMENT

*The activity of enhancing employees' competencies and skills through programs and training.*

*See **Agency Management –Training** for record series relating to training of non-agency staff.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b><i>On-The-Job-Training Files</i></b> Provides a record of a trainee's dates of service, training plan, evaluation reports, correspondence, ADA requirements, and other related documentation.	GS 03023 Rev. 0	<b>Retain</b> for 6 years after training terminated <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>ESSENTIAL</b>
	<b><i>Training, Employee/Clock Hour Credits</i></b> Various forms, sign-up sheets and reports documenting courses attended by state employees.	GS 03007 Rev. 0	<b>Retain</b> for 7 years after termination of employment <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL
	<b><i>Training History Files, Employee</i></b> Records of an employee's training history, including employee's name and identifying info, courses registered for and completed along with completion dates. May be maintained as a separate record in the agency personnel office or within the individual employee personnel files.  <i>Note: See also GS 03007 Training, Employee/Clock Hour Credits.</i>	GS 22003 Rev. 0	<b>Retain</b> for 7 years after termination of employment <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL



## 5. INFORMATION MANAGEMENT

The function of managing the state government agency's information, including forms and publishing, mail services, public disclosure, and records management.

### 5.1 FORMS MANAGEMENT

*The activity of drafting, producing, and managing the state agency's forms.*

See **FINANCIAL MANAGEMENT** for financial records relating to forms.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b>Forms – Accountable</b> Unused, pre-numbered forms such as checks, receipts, invoices, meal tickets and licenses.	NEW DAN Rev. 0	<b>Retain</b> for 6 years after end of the fiscal year <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OFM
	<b>Forms – Master Set</b> Master set of all forms created by the agency. Also includes source materials (.pdfs, camera-ready copies, original photographs/images, etc.)	GS 15004 Rev. 1	<b>Retain</b> until use of form ceases <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OFM
	<b>Forms – Creation</b> Records relating to the design, creation, and revision of agency-created forms.  Includes, but is not limited to: <ul style="list-style-type: none"><li>• Job descriptions/specifications/design records;</li><li>• Sample job products/proofs/samples.</li></ul>	GS 12001 Rev. 1	<b>Retain</b> until no longer needed for agency business <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OFM
	<b>Forms Inventory Files</b> Inventories of stocks of forms on hand.	GS 12003 Rev. 0	<b>Retain</b> until superseded or obsolete <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OFM



## 5.2 LIBRARY SERVICES

*The activity of circulating a collection of materials. Includes public, school, and internal agency libraries.*

*See **FINANCIAL MANAGEMENT – Accounting** for financial records relating to library services.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b>Catalog</b> Records describing the library information sources owned by the agency.</p>	NEW DAN Rev. 0	<b>Retain</b> until no longer needed for agency business <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>ESSENTIAL</b> OFM
	<p><b>Circulation</b> Records relating to the borrowing, lending, and returning of items in the library's collection.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>Item circulation history;</li> <li>User/patron records (applications for membership, borrower registration, parent/guardian permissions).</li> </ul> <p>Excludes interlibrary loans covered by NEW DAN.</p>	NEW DAN Rev. 0	<b>Retain</b> until no longer needed for agency business <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OFM
	<p><b>Collection Control – General</b> Records documenting the physical control of the library's collection.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>Accession and deaccession (discard) of items;</li> <li>Interlibrary loans;</li> <li>Shelf list/inventory of holdings.</li> </ul> <p>Excludes special collections covered by GS2010-023.</p> <p><i>Note: Agreements relating to bequests are covered by GS 06008.</i></p>	NEW DAN Rev. 0	<b>Retain</b> for 6 years after end of the fiscal year <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>ESSENTIAL</b> OPR



## 5.2 LIBRARY SERVICES

*The activity of circulating a collection of materials. Includes public, school, and internal agency libraries.*

*See **FINANCIAL MANAGEMENT – Accounting** for financial records relating to library services.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b>Collection Control – Special Collections</b></p> <p>Records documenting the physical control of the library's special collections (archival, manuscript, rare books, etc.).</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"><li>• Accession and deaccession (discard) of items;</li><li>• Interlibrary loans;</li><li>• Shelf list/inventory of holdings.</li></ul> <p><i>Note: Agreements relating to bequests are covered by GS 06008.</i></p>	NEW DAN Rev. 0	<b>Retain</b> until special collection items no longer needed for agency business <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.	<b>ARCHIVAL</b> (Appraisal Required) <b>ESSENTIAL</b> OPR
	<p><b>Special Collections</b></p> <p>Items in the library's special collections, including, but not limited to:</p> <ul style="list-style-type: none"><li>• Archival collections;</li><li>• Manuscripts;</li><li>• Rare books.</li></ul>	NEW DAN Rev. 0	<b>Retain</b> until no longer needed for agency business <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.	<b>ARCHIVAL</b> (Appraisal Required) NON-ESSENTIAL OPR

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### 5.3 MAIL SERVICES

*The activity of managing the circulation of printed information. Includes incoming and outgoing, internal and external mail processes.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b>Mail Delivery and Receipt</b></p> <p>Records documenting the agency's incoming and outgoing physical mail (letters, packages, etc.).</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"><li>• Certified/registered/insured mail logs and return receipts;</li><li>• Postage meter logs/reports;</li><li>• Private ground delivery registers/receipts (UPS, Federal Express, etc.);</li><li>• Signed pick-up and delivery receipts;</li><li>• United States Postal Service (USPS) forms (certificate of bulk mailing, etc.).</li></ul> <p>Note: Contracts/agreements/permits relating to mailing services and equipment are covered by GS 06008.</p>	GS 13001 Rev. 1	<b>Retain</b> for 6 years after end of the fiscal year <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OPR



## 5.4 PUBLIC DISCLOSURE

*The activity relating to the agency's contact with the general public, the media, the legal community, and others regarding state agency policies, activities, and actions. Includes information releases, public information, office files, requests for information, and requests for records under the terms of the Public Records Act.*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b>Public Disclosure/Records Requests</b></p> <p>Records relating to requests from the general public for access to the agency's public records in accordance with chapter 42.56 RCW.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"><li>• Internal and external correspondence relating to the request;</li><li>• Records documenting the public records provided to the requestor (copies or lists of the records provided, etc.);</li><li>• Records documenting the public records (or portions) withheld (exemption logs, copies of portions redacted, etc.);</li><li>• Records documenting administrative reviews relating to the request;</li><li>• Public disclosure requests and appeals.</li></ul> <p>Excludes the records that are the subject of the public records request (which must be retained in accordance with the applicable records series).</p>	GS 05001 Rev. 1	<b>Retain</b> for 6 years after public records request fulfilled <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OPR
	<p><b>Requests for Information, General</b></p> <p>Letters, memos, telephone logs, copies of responses, and related records documenting requests for information from the public, the media, and other agencies.</p> <p><i>Note: This series does not include requests filed under the provisions of chapter 42.56 RCW.</i></p>	GS 05002 Rev. 0	<b>Retain</b> for 1 year after response to request completed <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL



## 5.5 PUBLISHING

*The activity of drafting, producing, and managing the state agency's publications. Includes web-based publishing.*

See **FINANCIAL MANAGEMENT** for financial records relating to publications.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b><i>Publications – Creation</i></b> Records relating to the design, creation, and revision of agency-created publications.  Includes, but is not limited to: <ul style="list-style-type: none"><li>• Job descriptions/specifications/design records;</li><li>• Sample job products/proofs/samples.</li></ul>	NEW DAN Rev. 0	<b>Retain</b> until no longer needed for agency business <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OFM
	<b><i>Master Printing Job Documentation</i></b> A record of all work done by the printing and reproductive office.	GS 15001 Rev. 0	<b>Retain</b> for 3 years after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OFM
	<b><i>Online Content Management</i></b> Records documenting the <u>publishing</u> of the agency's online (internet and intranet) content.  Includes, but is not limited to: <ul style="list-style-type: none"><li>• Requests/approvals to upload/update/remove content;</li><li>• Confirmation of content upload/update/removal;</li><li>• Point-in-time record of webpage/site (screenshot, snapshot, site map).</li></ul> <i>Note: All published content consists of individual <u>records</u> which have their own separate retention requirements. Each of these records must be retained for the current approved minimum retention period(s) according to their applicable records series.</i>	NEW DAN Rev. 0	<b>Retain</b> for 1 year after online content removed <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OFM

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### 5.5 PUBLISHING

*The activity of drafting, producing, and managing the state agency's publications. Includes web-based publishing.*

See **FINANCIAL MANAGEMENT** for financial records relating to publications.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b><i>Photographs, Graphics and Visual Resources</i></b> Photographs, slides and other graphic materials used in publications, stored in the form of prints, negatives, transparencies, CD-ROM images or other formats.	GS 15007 Rev. 0	<b>Retain</b> until administrative purpose served <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.	<b>ARCHIVAL</b> <b>(Appraisal Required)</b> NON-ESSENTIAL OFM
	<b><i>Publication and Duplication Service Job Files</i></b> Records of publication and duplication services provided by outside vendors or by agency copy services. May include copy requests, production activity logs, copy machine activity logs, orders, job descriptions and specifications, publications design records, sample job products, estimates, material order sheet, proofs, samples, completed job reports, summary of costs, and related records.	GS 15005 Rev. 0	<b>Retain</b> for 6 year after date of document <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OFM





## 5.5 PUBLISHING

*The activity of drafting, producing, and managing the state agency's publications. Includes web-based publishing.*

See **FINANCIAL MANAGEMENT** for financial records relating to publications.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b><i>Publications</i></b></p> <p>Master set of all publications created by the state agency intended for distribution to state government, the public, or the legislature. Also includes source materials (.pdfs, camera-ready copies, original photographs/digital images, etc.).</p> <p>Publications include, but are not limited to:</p> <ul style="list-style-type: none"><li>• Reports, manuals, brochures;</li><li>• Newsletters, magazines, journals, periodicals;</li><li>• Maps, plans, charts;</li><li>• Audio/video recordings and films/presentations.</li></ul> <p><i>Note: Agencies are required to transfer copies of state publications to the Washington State Library in accordance with RCW 40.06.030.</i></p>	GS 10010 Rev. 1	<p><b>Upon publication</b>, transfer one copy to Washington State Archives for appraisal and selective retention</p> <p><i>then</i></p> <p><b>Retain</b> all other copies in accordance with NEW DAN <i>(Secondary [Duplicate] Copies)</i>.</p>	<p><b>ARCHIVAL</b> <b>(Appraisal Required)</b> NON-ESSENTIAL OFM</p>



## 5.6 RECORDS CONVERSION

*The activity of converting the agency's public records from one form (source records) to another (converted records) through the processes of imaging (digitization or microfilm preservation) or data migration (digital or magnetic).*

As per WAC 434-662-040, electronic records must be retained in electronic format. For information on microfilm standards or obtaining approval for early destruction of source documents after imaging, please contact Washington State Archives.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b>Conversion Process – Archival Records</b></p> <p>Records documenting the <u>process</u> of converting the agency's Archival public records from one form to another where not captured in the metadata of the converted records.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"><li>• Migration of digital records (mapping schemas, testing reports, etc.);</li><li>• Digitization of paper-based records (tests, inspection results, etc.);</li><li>• Microfilming of digital and/or paper-based records (arrangement of originals, guide sheets, etc.);</li><li>• Transfer of magnetic recordings.</li></ul> <p><i>Note: The source records are covered by NEW DAN or NEW DAN.</i></p>	NEW DAN Rev. 0	<p><b>Retain</b> until the converted Archival records are transferred to Washington State Archives</p> <p><i>then</i></p> <p><b>Transfer</b> to Washington State Archives for appraisal and selective retention.</p>	<p><b>ARCHIVAL</b> (Appraisal Required) NON-ESSENTIAL OFM</p>



## 5.6 RECORDS CONVERSION

*The activity of converting the agency's public records from one form (source records) to another (converted records) through the processes of imaging (digitization or microfilm preservation) or data migration (digital or magnetic).*

As per WAC 434-662-040, electronic records must be retained in electronic format. For information on microfilm standards or obtaining approval for early destruction of source documents after imaging, please contact Washington State Archives.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b>Conversion Process – Non-Archival Records</b></p> <p>Records documenting the <u>process</u> of converting the agency's Non-Archival public records from one form to another where not captured in the metadata of the converted records.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"><li>• Migration of digital records (mapping schemas, testing reports, etc.);</li><li>• Digitization of paper-based records (tests, inspection results, etc.);</li><li>• Microfilming of digital and/or paper-based records (arrangement of originals, guide sheets, etc.);</li><li>• Transfer of magnetic recordings.</li></ul> <p><i>Note: The source records are covered by NEW DAN or NEW DAN.</i></p>	NEW DAN Rev. 0	<b>Retain</b> until the converted Non-Archival records have been destroyed in accordance with a current approved records retention schedule <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OFM



## 5.6 RECORDS CONVERSION

*The activity of converting the agency's public records from one form (source records) to another (converted records) through the processes of imaging (digitization or microfilm preservation) or data migration (digital or magnetic).*

As per WAC 434-662-040, electronic records must be retained in electronic format. For information on microfilm standards or obtaining approval for early destruction of source documents after imaging, please contact Washington State Archives.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b>Source Records – Imaged (Archival)</b></p> <p>Archival source records which have been imaged using either of the following processes:</p> <ul style="list-style-type: none"><li>Digitized in accordance with a valid approval by Washington State Archives in accordance with WAC 434-663; or,</li><li>Microfilmed in accordance with <i>Washington State Standards for the Production and Use of Microfilm</i>.</li></ul> <p><b>And, provided that</b> the converted records are retained in accordance with a current approved records retention schedule.</p>	NEW DAN Rev. 0	<b>Retain</b> until verification of successful conversion <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.	<b>ARCHIVAL</b> (Appraisal Required) NON-ESSENTIAL OFM
	<p><b>Source Records – Imaged (Non-Archival)</b></p> <p>Non-Archival source records which have been imaged using either of the following processes:</p> <ul style="list-style-type: none"><li>Digitized in accordance with a valid approval by Washington State Archives in accordance with WAC 434-663; or,</li><li>Microfilmed in accordance with Washington State Standards for the Production and Use of Microfilm</li></ul> <p><b>And, provided that</b> the converted records are retained in accordance with a current approved records retention schedule.</p>	GS 11012 Rev. 1	<b>Retain</b> until verification of successful conversion <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OFM



## 5.6 RECORDS CONVERSION

*The activity of converting the agency's public records from one form (source records) to another (converted records) through the processes of imaging (digitization or microfilm preservation) or data migration (digital or magnetic).*

As per WAC 434-662-040, electronic records must be retained in electronic format. For information on microfilm standards or obtaining approval for early destruction of source documents after imaging, please contact Washington State Archives.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b>Source Records – Migrated</b></p> <p>Non-paper-based source records which have been migrated to another non-paper-based format such as:</p> <ul style="list-style-type: none"> <li>Digital records which are migrated to another digital format;</li> <li>Magnetic records (VHS, cassette tapes, reel to reel, 8-track tapes, etc.) which are migrated to another magnetic or a digital format</li> </ul> <p><b>And, provided that</b> the converted records are retained in accordance with a current approved records retention schedule.</p> <p>Excludes paper- and film-based source records covered by NEW DAN and NEW DAN.</p>	GS 11011 Rev. 1	<b>Retain</b> until verification of successful conversion <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OFM



## 5.7 RECORDS MANAGEMENT

*The activity of systematically controlling the lifecycle of the state government agency's public records (creation or receipt, use, maintenance, and disposition).*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<b><i>Conservation (Archival)</i></b> Conservation treatment records documenting repair to public records designated as Archival.	NEW DAN Rev. 0	<b>Retain</b> until the Archival records are transferred to Washington State Archives <i>then</i> <b>Transfer</b> to Washington State Archives for appraisal and selective retention.	<b>ARCHIVAL</b> (Appraisal Required) NON-ESSENTIAL OFM
	<b><i>Conservation (Non-Archival)</i></b> Conservation treatment records documenting repair to public records designated as Non-Archival.	NEW DAN Rev. 0	<b>Retain</b> until the Non-Archival records have been destroyed in accordance with a current approved records retention schedule <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OFM
	<b><i>Destruction of Public Records</i></b> Records relating to the destruction of the agency's public records.  Includes, but is not limited to: <ul style="list-style-type: none"> <li>• Destruction logs;</li> <li>• Affidavits;</li> <li>• Agency authorizations;</li> <li>• Certificates/Notices of destruction.</li> </ul>	NEW DAN Rev. 0	<b>Retain</b> for the life of the agency.	NON-ARCHIVAL <b>ESSENTIAL</b> OFM



## 5.7 RECORDS MANAGEMENT

*The activity of systematically controlling the lifecycle of the state government agency's public records (creation or receipt, use, maintenance, and disposition).*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b>Records Control</b></p> <p>Records relating to the physical and intellectual control of the agency's records, including, but not limited to:</p> <ul style="list-style-type: none"> <li>• Essential records lists;</li> <li>• Files classification schemes/guidelines;</li> <li>• Inventories;</li> <li>• Records center transmittals/retrievals;</li> <li>• Master indexes, lists, registers, tracking systems, databases, and other finding aids used to access public records designated as Non-Archival.</li> </ul> <p>Note: Master indexes, lists, registers, tracking systems, databases and other finding aids for public records designated as Archival should be retained with the records and transferred to Washington State Archives.</p>	GS 11004 Rev. 1	<b>Retain</b> until no longer needed for agency business <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OFM



## 5.7 RECORDS MANAGEMENT

*The activity of systematically controlling the lifecycle of the state government agency's public records (creation or receipt, use, maintenance, and disposition).*

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b><i>Retention and Disposition Authorization</i></b> Records relating to the retention of the agency's records in accordance with chapter 40.14 RCW, including, but not limited to:</p> <ul style="list-style-type: none"> <li>Internal working guides abstracted from approved records retention schedules;</li> <li>Application for Early Destruction of Source Documents after Digitization (DAD) or Electronic Imaging Systems (EIS) application, <i>provided that</i> the original is retained by the Washington State Archives;</li> <li>Agency's copies of records retention schedules approved by the State Records Committee in accordance with Chapter 40.14 RCW, <i>provided that</i> the original is retained by the State Records Committee.</li> </ul>	GS 11003 Rev. 1	<b>Retain</b> until no longer needed for agency business <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OFM
	<p><b><i>Transfer of Legal Custody</i></b> Records relating to the transfer of the agency's legal custody of its public records under one of the following circumstances:</p> <ul style="list-style-type: none"> <li>Transfer of Archival records to Washington State Archives;</li> <li>Lawful transfer to another government entity (other state agency, local government agency, etc.).</li> </ul>	NEW DAN Rev. 0	<b>Retain</b> for the life of the agency.	NON-ARCHIVAL <b>ESSENTIAL</b> OFM





## 6. RECORDS WITH MINIMAL RETENTION VALUE

This section covers records created or received by the agency which are typically of short-term, temporary informational use.

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b>Agency Information – Routine</b></p> <p>Internal and external requests for, and provision of, routine information about the operations of the agency, such as:</p> <ul style="list-style-type: none"><li>• Business hours, locations/directions, web/email addresses;</li><li>• Meeting dates/times.</li></ul>	GS 50001 Rev. 1	<b>Retain</b> until no longer needed for agency business <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OFM
	<p><b>Agency-Generated Forms and Publications – Copies</b></p> <p>Blank forms and duplicate copies of publications, <i>provided that</i> the agency retains the primary record in accordance with the current approved minimum retention period.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"><li>• Reports, catalogs, brochures, calendars, posters;</li><li>• Multi-media presentations (videos, CDs, etc.).</li></ul> <p>Excludes publication masters covered by NEW DAN.</p> <p>Excludes form masters covered by NEW DAN.</p> <p>Excludes accountable forms (unused, pre-numbered checks, receipts, meal tickets, licenses, etc.) covered by NEW DAN.</p>	NEW DAN Rev. 0	<b>Retain</b> until no longer needed for agency business <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OFM

# DRAFT



Washington State Archives  
Office of the Secretary of State

**State Government General Records Retention Schedule**  
**Consultation Draft – Version 5.1 (May 2011)**

ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b>General Information – External</b> Information received from other agencies, commercial firms, or private institutions, which requires no action and is no longer needed for agency business purposes.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"><li>• Catalogs, reports, multi-media presentations (videos, CDs, etc.);</li><li>• Informational copies, notices, bulletins, newsletters, announcements;</li><li>• Unsolicited information (junk mail, spam, advertisements, etc.).</li></ul>	NEW DAN Rev. 0	<b>Retain</b> until no longer needed for agency business <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OFM
	<p><b>Secondary (Duplicate) Copies</b> Copies of records (created or received), <i>provided that</i> the agency retains the primary record in accordance with the current approved minimum retention period.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"><li>• Data extracts and printouts from agency information systems.</li></ul>	NEW DAN Rev. 0	<b>Retain</b> until no longer needed for agency business <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OFM

# DRAFT



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Office of the Secretary of State

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ITEM NO.	DESCRIPTION OF RECORDS	DISPOSITION AUTHORITY NUMBER (DAN)	RETENTION AND DISPOSITION ACTION	DESIGNATION
	<p><b><i>Transitory Records</i></b></p> <p>Public records that only document information of temporary, short-term value, <b>and provided that</b> the records are:</p> <ul style="list-style-type: none"><li>• <b>Not</b> needed as evidence of a business transaction; <b>and</b>,</li><li>• <b>Not</b> covered by a more specific records series.</li></ul> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"><li>• Miscellaneous notices or memoranda which do not relate to the functional responsibility of the agency (notices of community affairs, employee meetings, holidays, etc.);</li><li>• Preliminary drafts of letters, memoranda, reports, work sheets, spreadsheets, presentations, and informal notes, etc., which do not represent significant basic steps in the preparation of record document(s);</li><li>• Routing slips used to direct the distribution of documents;</li><li>• Shorthand notes, stenotype tapes, and mechanical records, after they have been transcribed into typewritten or printed form on paper or microfilm;</li><li>• Telephone messages (including voicemail, digital voice messages, etc.);</li><li>• Letters of transmittal which do not add any information to the transmitted materials.</li></ul>	NEW DAN Rev. 0	<b>Retain</b> until no longer needed for agency business <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OFM



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